



# THE GOLD STANDARD: Project Design Document for Gold Standard Voluntary Offset projects

# Improved Household Charcoal Stoves in Mali

# (GS-VER-PDD)

# Developed by E+Carbon according to the "Indicative Programme, Baseline, and Monitoring Methodology for Improved Cook-Stoves and Kitchen Regimes Version 1."

Version 3.1 – Registration

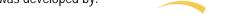
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# VOLUNTARY OFFSET PROJECTS

# PROJECT DESIGN DOCUMENT FORM (GS-VER-PDD) Version 01 - in effect as of: January 2006)

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#### SECTION A. General description of project activity

A.1 Title of the project activity

Title:Improved Household Charcoal Stoves in MaliVersion:3.1Date:5 September, 2009

PDD Version history:

Version 1.0 – pre-validation Version 2.0 – validation, uploaded to GSP Versions 2.1-2.6 – subsequent validation revisions Version 3.0-3.1 - registration

#### A.2. Description of the project activity

# FORESTS AND THE FORESTRY SECTOR

Mali is a Sahelian country with more than half its area covered by the Sahara Desert, about one-third seriously endangered by desertification and only about 15 percent favourable to plant production. Forests occupy about 10.8 percent of the land area (13.2 million hectares). Problems of degradation of wooded areas are especially acute in Mali, where combating desertification is a national priority, as are the search for food self-sufficiency and combating poverty.

The main forest problems are the spread of cropping on cleared land, heavy pressure from grazing, bush fires and overexploitation of fuel wood resources ... These problems are compounded by persistent drought and an annual population growth of more than 2.2 percent.

Forest resources and land are of strategic importance for the population's well-being and for the country's prospects of development. Apart from the importance of fuel wood and timber, non-wood forest products - fruit, nuts, baobab leaves, raffia, fodder for livestock, bush meat, medicinal plants, honey and edible oils - should also be noted."

-United Nations Food and Agriculture Organization<sup>1</sup>

Fuel wood and charcoal (together referred to as wood fuel) meet between 80<sup>2</sup> and 90% of Mali's fuel requirements<sup>3</sup>. Although wood continues to dominate national energy consumption, charcoal use in both rural and urban areas is

<sup>&</sup>lt;sup>1</sup> http://www.fao.org/forestry/site/18308/en/mli/

<sup>&</sup>lt;sup>2</sup> Schema Directeur d'Approvisionnement (SDA) en bois energie de Bamako (2006) Ministry of Mines, Energy and Water, Mali

<sup>&</sup>lt;sup>3</sup> http://www.fao.org/countryprofiles/index.asp?lang=en&iso3=GHA&subj=5

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increasing. In 1997, charcoal replaced wood as the primary fuel in Bamako<sup>4</sup>, and the positive trend is expected to continue. Fuel-switching from wood to charcoal in city centers is primarily due to changes in the socioeconomic characteristics of urban households that make charcoal a more attractive fuel. The total annual per capita consumption of charcoal countrywide is growing by 10% a year.<sup>5</sup>

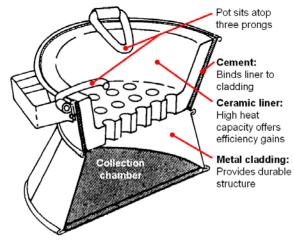
The project described herein will reduce greenhouse emissions by dissemination of fuel-efficient charcoal stoves. The project is based on pilot work by Katene Kadji, Mali. Katene was established in 1995 and has been selling improved biomass cook stoves in Mali since 1997. It is owned and managed by Ousmane Samassekou, a highly educated entrepreneur who has started other businesses in Bamako, Mali, and Delhi, India.

Five categories of stoves will be marketed on a large-scale under the auspices of the project. Each features the same design in different size depending upon household size or application. The five categories are:

- a. Extra Large
- b. Large
- c. Medium
- d. Small
- e. Tea

The improved charcoal stove (SEWA stove) reduces fuel consumption by introduction of a ceramic liner that increases combustion efficiency and retains heat. The SEWA stove consists of hourglass shaped metal cladding with perforated interior ceramic liner that allows ash to fall to the collection chamber at the base. A thin layer of cement is placed between the cladding and the liner. During use, a single pot rests at the top of the stove. See diagram below for further details. The design of all five sizes listed above is identical.

#### Cross Section of SEWA Stove



<sup>&</sup>lt;sup>4</sup> World Bank. 2000. ESMAP Household Energy Strategy. Leaflet.

<sup>&</sup>lt;sup>5</sup> <u>ftp://ftp.fao.org/docrep/fao/009/j5838e/j5838e00.pdf</u>

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The SEWA stove is one of many variants of the Kenya Jico stove. The Ghanaian GYAPA stove is another variant of the Jico that is nearly identical to the Malian SEWA stove. A 2002 study conducted in Ghana, found that the GYAPA stove was 37% more fuel-efficient than traditional methods<sup>6</sup>, though a ceramic liner has the potential to improve fuelefficiency by up to 50%<sup>7</sup>. While we are confident the SEWA stoves in Mali significantly reduce greenhouse gas emissions, they simultaneously provide co-benefits to users and families in the form of relief from high fuel costs, reduced exposure to health-damaging airborne pollutants, faster cooking (resulting in time-savings), and increased cleanliness and convenience.

Before the project start date, Katene manufactured and sold stoves with the help of various subsidies and aid organizations. Katene received financial support from AMADER<sup>8</sup>, a government entity that focuses on initiatives to improve household energy and rural electrification in Mali. This support enabled Katene to lower their prices and make their stoves more affordable to their target market. One of AMADER's goals has been to help facilitate the dissemination of 500,000 improved stoves (both SEWA and other types) in Mali by 2009. However, to reach this goal, collaboration and innovative new approaches such as carbon finance will be necessary since AMADER no longer supports Katene or the efficient stove industry. In 2007 Katene also received machines for a new metal cladding workshop from the German aid organization GTZ. These machines, which are a subsection of Katene's overall manufacturing equipment, are still used today. GTZ no longer provides any support to Katene and prior support was provided in a single disbursement for the aforementioned equipment. Finally, Enterprise Works provided training and marketing services in the late 1990's and early 2000s. Since the project start date, there has not been any development aid funding the project.

Katene made plans in 2007 to secure carbon finance with a view to a major expansion effort that would allow the SEWA stove to be sold at affordable prices to poor customers, and that would dramatically increase sales (see Table A.2). Table A.2 projects the expected volume of sales of SEWA improved charcoal stoves, assuming stoves are installed at a consistent rate through the year, and projects annual offsets based on the conservative assumptions that 20% of the stoves sold cease to be used each year, charcoal is 59% non-renewable, and approximately 219kg of charcoal are saved annually per household using an improved SEWA stove.

The operational lifetime of each improved stove is an important factor, since greenhouse gas (GHG) emission reductions are dependent not on the sale of an improved stove for use in a kitchen operating an inefficient stove, but rather they are dependent on the number of months or years the improved stove is in daily use. The actual drop-off in customer numbers is expected to be less than 20% per year, due to quality assurance measures, and should be monitored carefully by the project. Actual drop-off rates will be substituted for this conservative estimate of 20%; equally the potential drop-off in performance of aging stoves will be measured and the results applied to GHG emission reduction calculations.

<sup>&</sup>lt;sup>6</sup> http://www.shellfoundation.org/index.php?newsID=372

<sup>&</sup>lt;sup>7</sup> http://www.shellfoundation.org/index.php?newsID=419

<sup>&</sup>lt;sup>8</sup> Reform of the energy sector has led to the creation of AMADER, a government entity created in 2002 to support rural electrification, urban and household energy issues. AMADER receives its financial support from the World Bank.

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Currently inefficient and polluting cooking regimes are deeply established throughout West Africa and in Mali in particular. With carbon finance this project aims to break the mould and move large populations away from conditions under which GHG emissions are unacceptably high and health effects are unacceptably harmful for the women and children spending long hours each day in traditional kitchens.

Carbon finance provides a basis for maintaining a professional commercial relationship between the user and the disseminators, while also introducing a quality guarantee and an ongoing monitoring and evaluation component. The quality assurance strategy is a major benefit of carbon finance. It has the potential to introduce a new set of quality expectations amongst consumers and so shift the critical mass of prevailing practice away from inefficient cooking with its extreme environmental and health penalties, to new widespread prevailing practice involving significantly reduced GHG emissions and less-polluted kitchens. The quality assurance system (currently under consideration) will extend the working life-times of the stoves and maintain performance levels by providing free replacement of vulnerable components. It is expected that this strategy will help secure customer loyalty and so strengthen an overall shift of customer preference toward high-efficiency stoves. The effect will be to galvanize competition in the same direction, so securing widespread dissemination of low-emission cooking.



uel-Specific Pa	rameters								Stove sales	s and Usage P	arameters
						Baseline Fuel	Project Fuel	Average Fuel			
	Type of fuel	Avg. NRB	EF CO2	EF CH4	EF N2O	Consumption	Consumption	Savings	Initial	l Sales (1st year)	20000
		%	tCO2	tCO2e	tCO2e	kg/hh_day	kg/hh_day	kg/hh_day	Annual S	Sales Growth (%)	10%
Biomass 1	Charcoal *	51.00%	5.106	1.141	0.096	2.41	1.79	0.62	Avg. Anr	nual Leakage (%)	0%
Biomass 2	Wood	54.00%	1.747	0.401	0.054	1.25	1.00	0.25	KS A	djustment Factor	1.00
Biomass 3	0	0.00%	0	0	0	0.00	0.00	0.00	A	vg. Annual Sales	31875
Alternative fuel 1	0	-	0	0	0	0.00	0.00	0.00	Avg. S	tove lifetime (yrs)	3
Alternative fuel 2	0	-	0	0	0	0.00	0.00	0.00			
Alternative fuel 3	0	-	0	0	0	0.00	0.00	0.00			
nnual Usage ar	nd Sales Rates										
innaar oouge ar	Project Year	1	2	3	4	5	6	7	8	9	10
	Calendar Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Stove Usage Rate:	(% in use at end of year)	90%	70%	50%	30%	10%	0%	0%	0%	0%	0%
						29,282	32,210	35,431	38,974	42,872	47,159
Slove Usage Rale.	Annual Sales:	20,000	22,000	24,200	26,620	29,202	32,210	55,451			
Slove Usage Nale.	Annual Sales:	20,000	22,000	24,200	26,620	29,202	32,210	55,451	00,011	,	,
, in the second se	Annual Sales: e Project Emissio				,	zə,zəz avings Adjust		1.00		Leakage:	0%
, in the second se			ions (tCO2		,	,		•			,
Conservative		on Reduct	ions (tCO2		,	,	ment Factor:	•	2015		0%
Conservative Carbon Flows	e Project Emissio	n Reduct Project Ye	ions (tCO2) ar	e)	Fuel Sa	avings Adjust	ment Factor: 2013	1.00		Leakage:	0%
Conservative Carbon Flows Offset Vintage	e Project Emissio Stoves disseminated 20,000	on Reduct Project Ye 2008	ions (tCO2) ar 2009	e) 2010	Fuel Sa 2011	avings Adjust 2012	2013	1.00 2014		Leakage:	0%
Conservative Carbon Flows Offset Vintage 2008	e Project Emissio Stoves disseminated 20,000 22,000	on Reduct Project Ye 2008	ions (tCO2) ar 2009 17,064	<b>e)</b> 2010 13,646	Fuel Sa 2011 9,744	avings Adjust 2012 5,852	2013 2,422 6,414	<b>1.00</b> <b>2014</b> 482	<b>2015</b>	Leakage:	0%
Conservative Carbon Flows Offset Vintage 2008 2009	e Project Emissio Stoves disseminated 20,000 22,000	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>e)</b> 2010 13,646 18,770	Fuel S 2011 9,744 15,011	avings Adjust 2012 5,852 10,742	2013 2,422 6,414 11,777	1.00 2014 482 2,664	<b>2015</b> 0 530	Leakage: 2016 0	<mark>0%</mark> 201
Conservative Carbon Flows Offset Vintage 2008 2009 2010	e Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>e)</b> 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	avings Adjust 2012 5,852 10,742 16,551	2013 2,422 6,414 11,777 18,149	<b>1.00</b> <b>2014</b> 482 2,664 7,055	<b>2015</b> 0 530 2,930	Leakage: 2016 0 0 583	<mark>0%</mark> 201
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011	e Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>e)</b> 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955	<b>2015</b> 0 530 2,930 7,761	Leakage: 2016 0 0 583 3,227	0% 201 63 3,53
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2012	e Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>2010</b> 13,646 18,770 11,284 0 0	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 12,955 19,963	<b>2015</b> 0 530 2,930 7,761 14,250	Leakage: 2016 0 0 583 3,227 8,552	0% 201 63 3,55 9,37 17,22
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2012 2013	e Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>2010</b> 13,646 18,770 11,284 0 0 0	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 12,955 19,963 27,468	<b>2015</b> 0 530 2,930 7,761 14,250 21,960	Leakage: 2016 0 0 583 3,227 8,552 15,710	0% 201 63 3,55 9,37 17,22
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2012 2013 2014	E Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>2010</b> 13,646 18,770 11,284 0 0 0 0 0 0 0	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 12,955 19,963 27,468	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213	0% 201 63 3,53 3,53 3,53 9,37 17,22 26,55
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011 2011 2012 2013 2014 2015	E Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>2010</b> 13,646 18,770 11,284 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 12,955 19,963 27,468	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320	0% 201 63 3,55 3,55 9,37 17,22 26,55 36,54
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2011 2012 2013 2014 2015 2016 2017	E Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974 42,872	<b>Project Ye</b> <b>2008</b> 9,325 0 0	ions (tCO2) ar 2009 17,064	<b>2010</b> 13,646 18,770 11,284 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	<b>2013</b> 2,422 6,414 11,777 18,149 24,971 15,100 0 0 0 0 0 0 0	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 12,955 19,963 27,468	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320	0% 201 63 3,55 9,37 17,22 26,55 36,54 22,22
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2011 2012 2013 2014 2015 2016 2017	E Project Emissio 20,000 22,000 24,200 24,200 26,620 29,282 32,210 35,431 38,974 42,872 47,159	Project Ye 2008 9,325 0 0 0 0 0 0 0 0 0 0 0 0 0	ions (tCO2) ar 2009 17,064 10,258 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>2010</b> 13,646 18,770 11,284 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Fuel S 2011 9,744 15,011 20,647 12,412 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>2012</b> 5,852 10,742 16,551 22,769 13,728 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>2013</b> 2,422 6,414 11,777 18,149 24,971 15,100 0 0 0 0 0 0 0 0	1.00 2014 482 2,664 7,055 12,955 19,963 27,468 16,610 0 0 0	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215 18,272 0 0 0	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320 20,208 0	0% 201 63 3,53 9,37 17,22 26,55 36,54 22,22 116,09

# Table A.2 Projected Annual Sales and Annual Offsets

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Sustainable Development Matrix

Score (-2 to 2)

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Note that table A.2. projects offsets assuming that the project will sell exclusively the "medium" stove for purposes of simplification. However, calculation of the emission savings during each monitoring period throughout the project will be based on the actual number of different size stoves sold and their associated fuel savings.

The sustainability analysis assesses the project in terms of environmental and sustainable development impact. An overall score, according to the Sustainable Development Matrix, is achieved as follows:

Local/Regional/global environment	
Water quality and quantity	0
Air quality*	2
Other pollutants*	0
Soil condition	0
Biodiversity	1
Sub-total	3
Social sustainability and development	
Employment quality*	1
Livelihood of the poor*	2
Access to energy services*	1
Human and institutional capacity	1
Sub-total	5
Economic and technological development	
Employment (numbers)*	1
Balance of Payments (sustainability)	0
Technological self-reliance	1
Sub-total	2
TOTAL	10

Sustainable Development Assessment:

# **Greatest Positive Impacts**

 <u>Air Quality</u>: Mothers and children will be exposed to fewer hazardous air pollutants through reduced emissions of carbon monoxide and fine particulate matter. Air pollution from cooking with solid fuel is a key risk factor for childhood acute lower respiratory infections (for example, pneumonia) as well as many other respiratory, cardiovascular, and ocular diseases. In Mali, exposure to indoor air pollution (commonly measured by the pollutants carbon monoxide and fine particles) is responsible for the annual loss of 1,290,000 disability-adjusted



life-years (DALY)<sup>9</sup>. The DALY is a standard metric used by the World Health Organization (WHO) to indicate the burden of death and illness due to a specific risk factor. The WHO also estimates that exposure to indoor air pollution is responsible for 38,100 deaths per year in Mali.

\**Monitoring Indicator*. Indoor air pollution is assessed qualitatively in the Kitchen Survey (KS) and may be monitored quantitatively during the project by measuring ambient carbon monoxide and particulate matter concentrations in households with improved and unimproved cookstoves.

 Livelihood for the poor: Livelihood circumstances will be improved since the improved stoves reduce fuel costs. On average, a household using a medium sized stove saves about US\$25 per year for an initial investment of \$5.33. (resulting in a payback period of 2.66 months per stove<sup>10</sup>). The SEWA stove contributes to reduction in energy budgets on charcoal by about 25%. Fuel savings estimates are based on the results of the Baseline Kitchen Performance Test (KPT).

\**Monitoring Indicator*: Monetary savings due to reduced fuel consumption will be monitored throughout the project in the ongoing KSs. Cost savings will be self reported by end users as well as calculated based quantitative fuel savings and average local fuel prices at that time.

#### **Additional Positive Impacts**

- 3. <u>Biodiversity</u>: Biodiversity will be improved through the stove program reducing pressure on remaining forest reserves. This is especially important in Mali where the expansion of the Sahel is encroaching upon many habitats and forest resources and diversity are diminishing<sup>1112</sup>. Although important to the project impact, biodiversity is not crucial for an overall positive impact on sustainable development since there is only an indirect linkage between improved biodiversity and efficient stove use. As such, as outlined in the GS VER guidelines, tracking this indicator is not necessary.
- 4. <u>Employment:</u> The improved stoves give rise to employment opportunities for enterprises manufacturing, distributing, retailing, and maintaining the stoves (though this may be offset by reduced employment for charcoal makers and sellers). Katene currently directly employs 9 ceramic liner artisans and 2 metal cladding artisans. Katene also supplies ceramic liners to 8 independent shops where artisans external to Katene manufacture and attach metal cladding and sell completed stoves. With carbon finance, Katene expects to directly employ 10 new artisans and indirectly create over 400 jobs: 130 new external artisans, 260 new dealers and retailers, and 22 new distributors. The project proponents regonize the need to train new employees if this business is to

<sup>&</sup>lt;sup>9</sup> World Health Organization, December 2004, at http://www.who.int/healthinfo/bod/en/index.html.

<sup>&</sup>lt;sup>10</sup> Charcoal savings number from independent Berkeley Air Monitoring Group baseline study while the price of charcoal from 'Schema Report', 2006, Agence Malienne pour le Déceloppement de l'Energie Domestique et de l'Electrification Rurale, pg 48' In fact, charcoal prices vary from time to time based on the price of LPG and other goods.

<sup>&</sup>lt;sup>11</sup> <u>http://na.unep.net/atlas/profiles/english/Mali.pdf</u>

<sup>&</sup>lt;sup>12</sup> <u>http://www.fao.org/forestry/23747/en/mli/</u> PDD Mali Improved Stoves; E+Co August 2008



grow to meet expectations. The owner of Katene Kadji, Ousmane Samassekou, is a skilled entrepreneur with a long history of growing businesses and providing the needed employee training to do so. He is able to conceive of and implement scaleable solutions to training and employee management, and the project proponent is not concerned about his ability to scale this portion of the business given sufficient availability of funding.

\**Monitoring Indicator*: Changes in employment at Katene will be monitored directly and indirect job creation will be estimated based on increased sales volumes and known capacity growth among dealers, distributors, retailers, etc. Employment quality will be qualitatively assessed by observing employment conditions, working hours, safety precautions and other critical determinants of employment quality.

5. <u>Access to energy services:</u> Urban householders will have improved access to energy (estimated at 30-60% more effect from the same fuel). With increased sales of efficient stoves, households will enjoy greater access to energy services for cooking.

\**Monitoring Indicator*: The number of people gaining access to improved energy services will be tracked based on total sales and average household sizes.

- 6. <u>Human and institutional capacity:</u> Human capacity is raised through the business development component of the project. Contact details for several artisans are listed in the Stakeholder Meeting Minutes (Annex 5) and they may be contacted to examine capacity increases arising directly from carbon finance over the course of the project. Although important to the project impact, this indicator is not crucial for an overall positive impact on sustainable development. As such, as outlined in the GS VER guidelines, tracking this indicator is not necessary.
- 7. <u>Technological self-reliance:</u> The introduction of locally manufactured technology with optimized energy efficiency helps to build technological self-reliance. Contact details for several artisans and Mali's Designated National Authority are listed in the Stakeholder Meeting Minutes (Annex 5) and they may be contacted to examine increases in technological self-reliance arising directly from carbon finance over the course of the project. Although important to the project impact, this indicator is not crucial for an overall positive impact on sustainable development. As such, as outlined in the GS VER guidelines, tracking this indicator is not necessary.

# **Neutral Impacts**

- 8. <u>Soil condition, water quality and quantity, and other pollutants:</u> The cookstove manufacturing process is environmentally friendly, as indicated by the Project Letter of Approval from the Malian DNA (Annex 3), which requires all projects to meet national and regional environmental regulations. This indicator is not crucial for an overall positive impact on sustainable development. As such, as outlined in the GS VER guidelines, tracking this indicator is not necessary.
- 9. <u>Balance of payments:</u> Not applicable. This indicator is not crucial for an overall positive impact on sustainable development. As outlined in the GS VER guidelines, tracking this indicator is not necessary.



10. <u>Other pollutants</u>: Although pollutants are not expected to be a negative impact of the project, this issue was discussed briefly during the stakeholder consultation and will therefore be monitored throughout the course of the project.

\**Monitoring Indicator*: The project will monitor whether paint, paint thinner and other manufacturing waste is dealt with and disposed of properly throughout the course of the project.

#### Negative Impacts

No known negative indicators arise from the project activities.

A.3.	Project participants:	
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Name of Party involved ((host) indicates a host Party)	Private and/or public entity(ies) project participants (as applicable)	Kindly indicate if the Party involved wishes to be considered as project participant (Yes/No)
Mali	Katene Kadji	No
USA	E+Carbon	No

# A.4. Technical description of the project activity:

#### A.4.1. Location of the project activity:



Source: http://www.lonelyplanet.com/maps/africa/mali/

The project promotes sales of improved charcoal stoves initially in the urban and peri-urban communities in the Greater Bamako region. The company's distribution network will gradually be expanded to cover major towns and market centers in all regions of the country, including Timbouctou, Kidal, Gao, Mopti, Segou, Sikasso, Koulikoro, and Kayes, through the use of retail points and commission earning agents. For more details on project boundary and how it is defined in the context of the methodology, see section B.4.

According to Katene staff, the charcoal burned in the SEWA stoves is normally sourced from the savannah zones of southern Mali. The Ministry of Energy in Mali defines the supply area as a basin radiating out 200km in each direction from the city. The primary production areas supplying Bamako are found in four administrative regions: Koulikoro, Sissako, Segou and Kayes<sup>13</sup>. Production of charcoal tends to be small-scale and is often organized on a village level<sup>14</sup>. Women are the primary agents in charcoal production<sup>15</sup>, which is a dangerous and ill-paying profession. For a detailed description of the non-renewable biomass assessment performed, see the baseline study in annex 6.

Over-dependence by most of the population on charcoal and fuel wood as energy sources has heightened the threat of deforestation and desertification in many parts of the country<sup>16</sup>. The burden of this reliance is carried by natural

<sup>&</sup>lt;sup>13</sup>FAO Mali country website

<sup>&</sup>lt;sup>14</sup> Girard, P. 2002. Charcoal production and use in Africa: what future?. Unasylva

<sup>&</sup>lt;sup>15</sup> Energia News Vol 4 Nr 2, 2001: <u>http://doc.utwente.nl/42778/1/Sanogo01tale.pdf</u>

<sup>&</sup>lt;sup>16</sup> Atakora, S. Biomass Technologies in Ghana. Kumasi Institute of Technology and Environment (KITE). Accessed at: http://www.nrbp.org/papers/046.pdf



forests, as tree plantations have proved less lucrative in Mali than in neighbouring countries<sup>17</sup>. More than 500,000 ha of forests disappear annually in the country<sup>18</sup>. As sources, especially those in the periphery of large urban zones, become depleted and if proper forest management and practices are not implemented, it can be reasonably expected that Charcoal production in Mali, in addition to harvest for fuel wood, construction, agricultural clearing and other needs, presents an ongoing, increasing and significant threat to local forest resources<sup>19</sup>.

Accurate and up-to-date data on the charcoal production and consumption profile of Mali is difficult to obtain through desk research. As such, in-country fieldwork was conducted from March 5- March 21, 2008. Section B 2.2 describes the non-renewable biomass baseline research activities.

# A.4.1.1. Host Party(ies):

Mali (GIE Katene Kadji is local project participant)

# A.4.1.2. Region/State/Province etc.:

Mali

# A.4.1.3. City/Town/Community etc:

Initially urban and peri-urban communities in the Greater Bamako region; the company's distribution network will gradually be expanded to cover major towns and market centers in all regions of the country, including Timbouctou, Kidal, Gao, Mopti, Segou, Sikasso, Koulikoro, and Kayes. See section B.4. for complete summary of project boundary definitions in the context of the methodology.

# A.4.1.4. Detail of physical location, including information allowing the unique identification of this project activity (maximum one page):

Katene is the implementing organization and will conduct the project from its offices in the capital city of Bamako.

Contact Person(s): Erik Wurster, Manager, Carbon Finance E+Carbon 383 Franklin St. Bloomfield, NJ 07003 Tel: +1.917.225.0125

<sup>&</sup>lt;sup>17</sup> Maïga, A. 1999. *Ressources forestieres naturelles et plantations, Cas du Mali.* CE-FAO Programme Partenariat (1998-2000) Projet GCP/INT/679/EC.

<sup>&</sup>lt;sup>18</sup> Schema Directeur d'Approvisionnement (SDA) en bois energie de Bamako (2006) Ministry of Mines, Energy and Water, Mali

<sup>&</sup>lt;sup>19</sup> FAO Mali country websitePDD Mali Improved Stoves; E+Co August 2008



Email: erik.wurster@eandco.net

Mr. Ousmane S. Samassekou Porte: 253 Rue: 199 Sogoniko Commercial Bamako, Mali Tel: (00223) 222 98 08 Mobile: (00223) 673 05 85 / 641 77 00 Email: sewakadji@yahoo.fr

# A.4.2. Size of the project:

Large-scale (more than 60,000 tonnes of CO2 saved per year)

# A.4.3. Category(ies) of project activity:

A.2. Domestic Energy Efficiency

A.4.4. Brief explanation of how the anthropogenic emissions of anthropogenic greenhouse gas (GHGs) by sources are to be reduced by the proposed project activity, including why the emission reductions would not occur in the absence of the proposed project activity, taking into account national and/or sectoral policies and circumstances:

See section B.3. for full additionality rationale.

No ODA funding is being used to purchase VERs and a confidential excerpt from E+Carbon's sales contract for all VERs generated can be provided upon request as a confidential annex to support this statement.

# A.4.4.1. Estimated amount of emission reductions over the crediting period

Years	Annual estimation of emission reductions in tons of CO2e
2008	9,325
2009	27,321
2010	43,699
2011	57,813
2012	69,641
2013	78,833
2014	87,198
2015	95,918
2016	105,813
2017	116,091
Total emission reductions (tons of CO <sub>2</sub> e)	691,651
Total number of crediting years	10
Annual average over the crediting period	69,165
of estimated reductions (tons of CO <sub>2</sub> e)	



#### **SECTION B.** Application of a baseline methodology

#### B.1. Title and reference of the approved baseline methodology applied to the project activity:

This project reduces greenhouse gas emissions by facilitating significant savings in the use of non-renewing biomass. This Project Design Document follows the Gold Standard VER Methodology titled "Indicative Programme, Baseline, and Monitoring Methodology for Improved Cook-Stoves and Kitchen Regimes Version 1".

The baseline scenario is based on continued consumption of non-renewable biomass at unsustainable rates, as is currently taking place as outlined in section A.2. The project employs an evolving non-renewable biomass baseline to take into account changing renewability of fuel within Mali over time and to account for the fact that stove installations occur gradually across different geographies within Mali rather than all at one time.

# <u>B.1.1.</u> Justification of the choice of the methodology and why it is applicable to the project <u>activity:</u>

Taking the applicability criteria outlined in the methodology in turn, the methodology is applicable to the project activity because:

- 1. Low emissions cookstoves are replacing a relatively high-emission baseline scenario, as evidenced by the results of the baseline study conducted by Berkeley Air Monitoring Group, which quantifies the baseline scenario and project scenarios. Moreover, the project employs a system whereby end users are offered an additional discount on an efficient stove if the purchase is accompanied by surrendering a functioning inefficient stove of roughly similar cooking capacity. This provides an incentive to more quickly phase out inefficient stove use. Surrendered inefficient stoves are destroyed and sold for scrap metal to avoid them being resold into the market and used again. The initial discount is an additional 20% below the posted price, however, Katene reserves the right to adjust this rate based on market conditions.
- 2. The project boundary can be and is clearly defined in section B.4. of this PDD.
- 3. The stoves counted are not part of any other voluntary or compliance carbon finance project. In addition to the project proponent not being aware of any other carbon finance activities in the country around this technology, double counting is also avoided by E+Carbon's legally robust system of 2<sup>nd</sup> tier ERPAs, whereby all participants in the efficient stove industry with whom Katene Kadji does business are asked to sign contracts that would reveal any possible double counting. A full paper trail of all ownership rights to emissions reductions can be produced. Moreover, each end user, who is the default owner of emission reductions, is notified that they waive ownership of ERs upon sale of each stove. This is done via a rights waiver that is included inside each stove at point of sale to make the customer aware of them waiving ownership rights over emission reductions.
- 4. The project activity is limited to the stove sales within Mali. Any international stove sales are eliminated from the project activity.
- 5. There are no kitchens in the project activity that have more than 10 stoves per kitchen. Moreover, each stove has less than 50kW total output , as outlined in the calculations below:



Wood and Charcoal Net Calorific Values (IPCC 2006 GL)			
	NCV (TJ/Gg_ch or MJ/kg_ch)	lower 95%	upper 95%
Wood / Wood waste	15.6	7.9	31
Charcoal	<u>29.5</u>	<u>14.9</u>	<u>58</u>

#### Sample calculation (for large size stove)

NCV charcoal (MJ/kg) \* thermal efficiency (%) \* daily fuel consumption (kg/day) =29.5 MJ/kg \* 0.35 \* 2.2 kg/day = 22.715 MJ/day

22.715 MJ/day \* 1 day/86,400 seconds \*1000 KJ/MJ = 0.26 KJ/sec = 0.26 kW output

Stove size	Fuel consumption (kg/day) per fuel adjustment factors	Thermal capacity (kW)
Extra Large	3.5	0.42
Large	2.2	0.26
Medium	1.8	0.22
Small	0.7	0.08
Теа	0.4	0.05

#### B.2. Description of how the methodology is applied in the context of the project activity:

The methodology is applied in a series of steps:

#### 1. Determine customer groups or "clusters"

#### Step 1.1: Establish a pilot Sales Record

A pilot sales record was established by collecting the contact information of Katene customers. This was done through a combination of having customers complete their contact information on end user cards and sales people and surveying staff going house to house locating Katene customers.

#### Step 1.2: Provisionally assess fuel types, fuel mix, and kitchen regimes

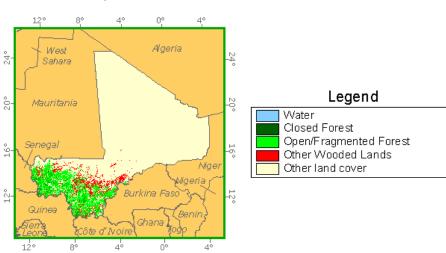
Berkeley Air Monitoring Group examined initial fuel mixture and kitchen regimes prior to determining possible clustering definitions. The entire Baseline Monitoring Study and Report was prepared by Berkeley Air Monitoring Group to avoid any conflict of interest in measurements that are central to emission reduction numbers and overall project profitability.

Step 1.3: Analyze renewability status of wood-fuels



In order to obtain the highest possible level of accuracy in regards to the renewability status of charcoal fuel in Mali, two weeks of 3<sup>rd</sup> party independent fieldwork was conducted by Berkeley Air Monitoring Group in March, 2008. Activities included: consultations and interviews with high-level staff members in the Ministry of Mines, Energy and Water, the Ministry of Forestry and the Ministry of the Environment. Interviews were also held with members of civil society organizations that have expert knowledge of fuel consumption, deforestation and other associated issues in the country. Lastly, site visits and interviews were conducted with rural foresters and charcoal makers in some charcoal-producing villages of southern Mali. These interviews generated information, literature, reports and data that could not be easily accessed through desk research.

- 1.3.1. Quantify non-renewable biomass<sup>20</sup>
- (a) Establish supply area and mean annual increment



# Forest cover map

Map source: Global Forest Resources Assessment 2000, base map: ESRI

The vegetation in Mali corresponds to climatic zones<sup>21</sup>:

- Guinean savannah either tree or bush in the south, covering about 6% of the country. There are also areas of dry closed forest and gallery forest.
- Sudanian savannah, covering 27% of the country. It comprises savannah park (750 to 1 200 mm rainfall) and grass savannah (500 to 750 mm rainfall), and is the country's farming zone.
- Sahelian shrub steppe, covering 16% of the country, a livestock-raising zone.

<sup>&</sup>lt;sup>20</sup> See annex 6 for full summary of process by which non-renewable biomass was quantified.

<sup>&</sup>lt;sup>21</sup> Extracted from FAO Mali country website PDD Mali Improved Stoves; E+Co August 2008



- Sub desert tropical steppe with rainfall of 50 to 200 mm, covering 21% of the country.
- Desert, covering 30% of the country.

The vast majority of charcoal production comes from southern Mali where wood quality, vegetation, and soil texture are quite suitable for small charcoal production operations. Generally, the Bamako supply basin is defined as an area radiating out 200km in each direction from Bamako. Charcoal use is primarily a feature of urban life in Mali, and three major cities are located in this southern basin. The sustainability of the wood supply situation has become a problem in recent times due to the destruction of woodlands in the supply area, in part from harvesting for charcoal production. From 1994 to 2006, the area experienced an average annual deforestation rate of 6% (to compare, the annual rate of deforestation in Mali is approximately 1%<sup>22</sup> or 500,000 hectares each year<sup>23</sup>).

Given that during the kitchen surveys, target households reported using both charcoal and fuelwood as a household cooking fuel, both charcoal and fuelwood were analyzed for their non-renewability characteristics. We chose to further define the supply area as those sub regions within the Bamako woodshed providing Bamako's charcoal or fuelwood needs<sup>24</sup> (hereafter referred to as "fuel supply basin"), by relying upon an in depth study on the subject that identified 61 communes that supply charcoal or fuelwood needs to Bamako. The communes are outlined in the map<sup>25</sup> on the following page. Communes supplying any charcoal to Bamako total 3,630,607 hectares, while communes supplying any fuelwood to Bamako total 2,908,108 hectares.

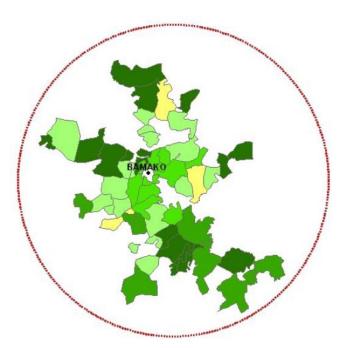
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<sup>&</sup>lt;sup>22</sup> Food and Agriculture Organization of the U.N.: The State of the World's Forests 2003, Ministry of the Environment, Mali

<sup>&</sup>lt;sup>23</sup> 2004 Masters Thesis from University of Sorbonne

<sup>&</sup>lt;sup>24</sup> Schema directeur d'approvisionnement (SDA) en bois energie de Bamako : Rapport final", Agence Malienne pour le Developpement de l'Energie Domestique et de l'Electrification Rurale, 2006

<sup>&</sup>lt;sup>25</sup> Schema directeur d'approvisionnement (SDA) en bois energie de Bamako : Rapport final", Agence Malienne pour le Developpement de l'Energie Domestique et de l'Electrification Rurale, 2006



(b) Establish annual harvest

The current annual wood fuel harvest (H) in the supply area is 4.1 million steres/year.<sup>26</sup>

(c) Quantify non-renewable biomass

As mentioned above, non-renewable biomass calculations were performed for both charcoal and wood since kitchen survey respondents reported using both fuels within their household cooking fuel mixture. The logic behind this approach is that when an efficient charcoal stove is introduced, consumption of both charcoal and of fuelwood will change as households adjust their balance of charcoal use versus fuelwood to reflect the new savings in charcoal.

Xnrb\_charcoal analysis:

The information collected about the supply area and relevant annual harvest can be summarized and used to quantify the non-renewing biomass (NRB) in the area. This quantity is the total harvest (H) divided by the legitimate harvest of renewing wood, or mean annual increment (MAI). The total annual harvest of woodfuel is 4.1 million steres/year and the mean annual increment is 2.0 million steres/year<sup>27</sup>.

The approach used is shown below:

<sup>&</sup>lt;sup>26</sup> Ibid. According to this report 1 stere = 330 kg

 <sup>&</sup>lt;sup>27</sup> Schema directeur d'approvisionnement (SDA) en bois energie de Bamako : Rapport final", Agence Malienne pour le Developpement de l'Energie Domestique et de l'Electrification Rurale, 2006
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# MAI = total woodfuel growth in all communes that supply any charcoal to Bamako = 2,035,048 steres

H = woodfuel harvest in all communes that supply any charcoal to Bamako = 4,125,013 steres

% Non-renewability  $(X_{nrb}) = 1 - (MAI/H)$ = 1 - (2,035,048/4,125,013) = 0.51 (51%)

An in-depth sensitivity analysis was performed using different parameters to define the charcoal supply area for Bamako. The NRB fraction presented here is a conservative estimate from the sensitivity analysis, which is described in detail in the Baseline Monitoring Report (Annex 6).

Xnrb\_wood Analysis:

The total annual harvest of woodfuel in the fuel supply area is 3.4 million steres/year and the mean annual increment is 1.6 million steres/year.

The approach is shown below:

MAI = total woodfuel growth in all communes that supply any fuelwood to Bamako = 1,557,769 steres

H = woodfuel harvest in all communes that supply any fuelwood to Bamako = 3,365,580 steres

% Non-renewability  $(X_{nrb}) = 1 - (MAI/H)$ = 1 - (1,557,769/3,365,580) = 0.54 (54%)

Thus, the best estimate of the percent non-renewability of the woodfuel used in Bamako is 54%.

This percentage is applied to the consumption of wood by the project population in both the baseline and project scenarios (since the project activity does not yet make any significant impact on either the harvest or increment quantities).

The corresponding calculations are:

An in-depth sensitivity analysis was performed using different parameters to define the wood supply area for Bamako. The NRB fraction presented here is a conservative estimate from the sensitivity analysis, which is described in detail in the Baseline Monitoring Report (Annex 6).

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#### (d) Maintain conservativeness

The figures presented so far for harvest are under-estimated. Demand for wood has been growing rapidly in previous years and will continue to grow through the project period. Urban growth is very pronounced, and demand for construction timber is rising. General population growth is 2.7% per year<sup>28</sup>, and the demand for charcoal is estimated to increase, in part, due to urbanization<sup>29</sup>. The figures quoted from recent studies reflect conditions in the past few years, and many of them need to be updated such that the non-renewable quantity at the start of the project is greater than estimated here.

The equation NRB = H - MAI must therefore be seen in terms of an increasing value of harvest (H) and a subsequent decreasing value of the mean annual increment (MAI), giving an increasing shortfall or a worsening non-renewable biomass condition though the project will have the effect of mitigating these trends.

# Step 1.4: Divide pilot Sales Record into customer groups or clusters

Berkeley Air Monitoring Group determined possible cluster definitions, which would be later tested in the KSs they conducted. At this stage, Berkeley Air theorized that only one, or potentially two cluster criteria existed. The first cluster criterion considered was that of end users that use or do not use fuelwood. The second cluster criterion considered was stove size.

# Step 1.5: Carry out a qualitative survey (KS)

Berkeley Air conducted KSs that profiled Katene customers based on various geographic, socioeconomic and demographic characteristics, all of which affect end user fuel use patterns. Further information was gathered from extensive review of the literature, and recommendations by the Katene staff.

Berkeley Air identified households by examining a cross section of Katene's existing customers and identifying three regions within Bamako in which to conduct KSs that they concluded would provide representative results. Within each of these areas, households were randomly selected (i.e. clustered random sampling).

More specifically, Berkeley Air estimates that approximately 50% of the 149 households sampled were taken directly from the detailed customer database of 613, and were not difficult to locate. Of the 50% that were taken from the database to survey, all were located and surveyed. The other 50% were sampled based on randomly selecting the neighbors of the first 50%. That is, once surveyors were in the field with the intent to survey specific households from the detailed customer database, they randomly came upon additional SEWA stoves in the field, which were incorporated in the kitchen survey.

<sup>&</sup>lt;sup>28</sup>CIA World Factbook <u>https://www.cia.gov/library/publications/the-world-factbook/print/ml.html</u>

<sup>&</sup>lt;sup>29</sup> <u>ftp://ftp.fao.org/docrep/fao/009/j5838e/j5838e00.pdf</u>

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As such, Berkeley Air is confident of the results as the method used employs a clustered random sampling approach and the sample size far exceeds that which is required in the methodology. More specifically, there are no characteristics that the surveyed customers have in common that are not shared by the rest of Katene customers.

#### Step 1.6: Refine demarcation of clusters and populate project database

Based on the KS performed, Berkeley Air determined that one cluster criterion should be used in the baseline assessment: that of *stove size*. The project population was therefore broken into 5 different clusters based on the 5 sizes of stoves offered.

# 2. Calculate baseline emissions

#### Step 2.1: Estimate expected variation and improvement in emission reductions

As Berkeley Air considered how they would design the Kitchen Performance Test (KPT), they estimated expected variation and improvement in emission reductions. They are professional statisticians who have significant experience with similar studies, and can readily estimate an appropriate approach in such cases.

#### Step 2.2: Specify the units of emission reduction or fuel consumption

Berkeley Air reported all of their emission saving numbers in kg per household per day, or kg/hh/day.

# Step 2.3: Make quantitative measurements (KPTs)

In all cases, paired sampling for KPTs – sampling the same users before and after beginning use of a new cook stove - was performed. KPTs were performed on households with similar socioeconomic and demographic characteristics as Katene customers (as defined by the results from the KS), but who did not have stoves prior to the test. They were then provided with a stove for purposes of the test. Any households that already owned SEWA stoves were not included.

To attain fuel savings for each stove model, the lower bound of the 90% confidence interval is taken for each fuel and stove size. Daily charcoal savings parameters are adjusted based on the ratio of fuel saved to stove cooking capacity calculated for the KPT stoves and extended that to other sized stoves and then subtracting 15% from this total. This approach proved to be both quantitatively and technically rigorous as well as conservative. 45% of SEWA stove users in the KS use wood as secondary fuel. To be conservative, typical wood savings is estimated for average, grand and super grand stoves based on the KPT field measurements for the average and grand stoves and the prevalence of wood use as a secondary fuel. More details of the KS and KPT analyses used to develop the following fuel saving numbers are available in the full baseline monitoring report (Annex 6).

The lower bound of the 90% confidence interval of daily charcoal and fuelwood savings for each stove type is outlined below:

Stove Type	Daily Fuel Savings (kg/HH-day)		
	Charcoal	Fuelwood	
Super Grand	1.32	0.25	
Grand	0.94	0.25	
Average	0.62	0.25	
Small	0.26	0	
Теа	0.16	0	

# Step 2.4: Calculate baseline

The CEIHD Household Energy Carbon Calculator (CHECC) is a detailed excel model developed by the Center for Entrepreneurship in International Health and Development (CEIHD) that estimates emission reductions of carbon dioxide, methane and nitrous oxide from improved cookstoves. The lower bound 90% confidence interval for fuel savings from the KPT were plugged into this model to project potential emission reductions. Annex 2 summarizes the input data and assumptions that were used in this model. CHECC is a new tool that will be available under license from CEIHD and is in the process of being validated for streamlined use in cookstove carbon offset programs.

# 3. Net leakage

The project has investigated, and will continue investigating, the following sources of leakage listed here. No significant sources of leakage were identified at this point in the project, but future offset calculations will be adjusted accordingly if significant sources are later identified:

- a) The project stimulates increased use of non-renewing biomass (for example, or by stimulating families to eat more or cook more, due to savings in charcoal use). This is sometimes referred to as the 'rebound effect.' This is accounted for in the paired KPT design, as explained below.
- b) The project stimulates increased use of non-renewing biomass by virtue of reducing pressure on the resource (for example by lowering prices of charcoal). This is partially accounted for in the paired KPT design, as explained below.
- c) Users of efficient stoves replace lower emissions technology than the improved stove. For example, switching from inefficient fuelwood to efficient charcoal can yield an increase in overall emissions in some cases. This is accounted for in the paired KPT design, as explained below.
- d) Improved stove users compensate for loss of the space heating effect of inefficient cook-stoves by adopting some other form of heating, such as open fires, or by retaining some use of inefficient stoves. This is accounted for in the paired KPT design, as explained below. In addition, this effect is not relevant in the context of Mali.



- e) The traditional charcoal stoves replaced by the improved stoves are re-used by the same families or other families in a manner suggesting increased consumption of charcoal beyond the baseline demand level. This is accounted for in the paired KPT design, as explained below. In cases where replaced stoves are used by other families, they do not replace efficient stoves and therefore do not yield a net increase in emissions. Furthermore, an inefficient stove buyback system is in place, which provides incentives to surrender inefficient stoves in exchange for a discount on efficient stoves. See applicability criteria 1 in section B.1.1. for more details.
- f) Manufacture, distribution, or use of the improved stoves gives rise to new emissions associated with transport or manufacturing. Evidence exists that this effect is more than compensated for by reduction in transport emissions due to decreases in charcoal use, as explained below.

The quantitative results of the KPT subsumes<sup>30</sup> the potential sources of leakage a, c, d and e, above. Because the KPT represents fuel savings in actual households, the results already incorporate the effects of these potential leakages.

Should a decrease in price of charcoal as a result of decreased demand result in increased charcoal use (effect b above) a paired KPT will account for increased charcoal use within the project boundary, but not outside of the project boundary. Periodic assessment of NRB baseline as part of the monitoring methodology will inform whether this leakage parameter should be adjusted in the future.

Leakage from transport or manufacturing (effect f above) is also not addressed by the KPT. However, it appears to contribute to surplus emission reductions (from reduced charcoal shipments to Bamako) as much, if not more, than it contributes to leakage.

The potential sources of leakage discussed above will be followed throughout the project period.

B.3. Description of how the anthropogenic emissions of GHG by sources are reduced below those that would have occurred in the absence of the registered VER project activity:

The project will reduce the amount of GHGs emitted through reduced use of charcoal as a cooking fuel, by introducing widespread use of efficient charcoal stoves that will replace existing inefficient stoves. The project has not previously been announced for implementation without seeking carbon finance within the last 3 years.

<sup>&</sup>lt;sup>30</sup> Kitchen Performance Tests are conducting using paired tests and measurement of real, observed reductions in charcoal usage in the field. That is, a household's charcoal use is measured for a period of three days in the absence of a SEWA stove. The family is then provided with a new SEWA stove and is not told specifically to use only the new stove. Charcoal use is then measured for a three day period with the SEWA stove. Charcoal savings is calculated by subtracting usage before the SEWA stove from usage after. Our emissions reduction projections, improved indoor air indicators and other variables that depend upon lower charcoal consumption are derived from these robust, third party measurements. PDD Mali Improved Stoves; E+Co August 2008 Page 25

•••• •••• •••• Premium quality carbon credits

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The UNFCC Additionality Tool (Version 5.2) requires that 4 steps are taken to investigate whether or not the emission reduction would be achieved in the absence of the project activity. Taking these 4 steps in turn:

# 1. Identify alternatives to the project activity that are consistent with mandatory laws and regulation.

# Sub-step 1a: Define alternatives to the project activity

Four credible alternatives to the project activity exist. 1) First, the project activity could proceed without being registered as a Voluntary Gold Standard project. 2) Alternatively the target population could continue to cook using the same inefficient cooking technology and consume greater amounts of fuel. 3)The target population could also cook with another fuel, such as liquefied petroleum gas (LPG). 4) Finally, the target population might also cook using a solar cooker. Although solar cookers can only be used when the sun is shining and LPG produces a different taste in the food that introduce some cultural barriers, broadly speaking, these alternatives would provide a similar level of service, at least in the near term. Over the long term, continuing the business-as-usual scenario could lead to fuel shortages, thereby decreasing service levels.

# Sub-step 1b : Outline consistency with Malian law

These alternatives are consistent with Malian law since there is no legislation in Mali that requires the use of efficient stoves. Moreover, none is expected to be introduced during the project period.

(proceed to step 3, "barrier analysis" since an investment analysis will not be applied)

# 3. Barrier analysis

Sub-step 3a: Identify barriers that would prevent the implementation of the proposed project activity:

• Financial barrier

With respect to additionality, the Gold Standard VER methodology being applied states that, "the project proponent must show that the project could not or would not take place without the presence of carbon finance. Possible reasons may be that the initial investment... (is) ...not affordable to the target project population in the form of high stove prices."

Evidence gathered from end users, independent artisans, retailers, Katene's staff, government officials<sup>31</sup> and experts<sup>32</sup> suggests that at unsubsidized prices Katene stoves are unaffordable to the majority of Malians whose average GDP per capita (PPP) is \$1,000<sup>33</sup>. With the addition of carbon finance, efficient charcoal stoves will be

<sup>&</sup>lt;sup>31</sup> Signed letter from AMADER stating that cost is a major barrier to adoption of efficient stoves.

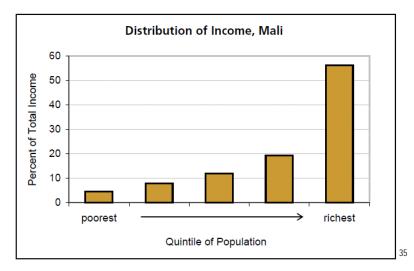
<sup>&</sup>lt;sup>32</sup> Barnes, Douglas et al. *What Makes People Cook with Improved Biomass Stoves?* World Bank Technical Paper. <u>http://ehs.sph.berkeley.edu/krsmith/publications/94\_barnes\_1.pdf</u>

<sup>&</sup>lt;sup>33</sup> <u>https://www.cia.gov/library/publications/the-world-factbook/geos/ml.html</u> PDD Mali Improved Stoves; E+Co August 2008



cheap enough for lower income households in Mali to afford them. That is, some carbon revenues will act as a direct subsidy so that efficient stoves are cost competitive with their business-as-usual counterparts. At unsubsidized prices, purchasing a SEWA stove accounts for several percent of annual incomes and the ability for users to save this amount of money to purchase the stove is extremely limited.

Yet GDP per capita does not tell the whole story with respect to the target population's ability to afford efficient stoves. This is because income distribution is extremely uneven in Mali. In fact, the 20% wealthiest Malians control 56.2% of the nation's wealth, while the 20% poorest only control 4.6%. 72.8% of Mali's population lives on less than \$1/day<sup>34</sup>. Since the target population is among the nation's poorest, these stoves without carbon revenues to subsidize their price represent a significant investment for this population. The graph below shows the distribution of income in Mali by quintile:



Although sales levels have been high in the past (they have steadily dropped since the project start date and are currently at zero as explained in next barrier), high sales was only possible because Katene was selling stoves below cost in hopes of realizing carbon revenues to fill this gap (see next barrier for further explanation). Moreover, prior to the project start, stoves were subsidized by grant funding from AMADER that is no longer available<sup>36</sup>. Once AMADER reached their original goad to disseminate a certain number of stoves, they discontinued the program.

In the absence of carbon revenues, consumer financing mechanisms are also needed to increase affordability of the stoves. The project developers are not aware of large scale stove initiatives in Mali that have received microfinance support or conventional loan support to significantly increase capacity. There is an organization that has the intent

<sup>&</sup>lt;sup>34</sup> World Resources Institute, Economic Indicators – Mali, 2003. Accessed on 29 May, 2009: http://earthtrends.wri.org/pdf\_library/country\_profiles/eco\_cou\_466.pdf

<sup>&</sup>lt;sup>35</sup> World Resources Institute, Economic Indicators – Mali, 2003. Accessed on 29 May, 2009: http://earthtrends.wri.org/pdf\_library/country\_profiles/eco\_cou\_466.pdf

<sup>&</sup>lt;sup>36</sup> Signed letter from AMADER attesting that subsidies are not available. PDD Mali Improved Stoves; E+Co August 2008



to disseminate up to 100,000 stoves, using microfinance, however, this activity has not proceeded beyond the pilot phase as less than 1,000 stoves have been sold<sup>37</sup>. Micro-credit organizations tend to have a strong bias towards productive vs consumptive loans. That is, they prefer to lend for purchases that will lead directly to income generating activities<sup>38</sup>. Although stoves that improve public health and promote sustainable development have linkages to ones income, micro-credit organizations tend not to recognize these linkages, making credit for these stoves and therefore their affordability limited among the target population. Since the discount on stoves with carbon revenues in place will be so significant, the project will not incorporate a microfinance component unless it is later deemed necessary.

The chart below outlines the prices at which Katene's stoves have been sold and were later sold with a discount:

Stove model	Undiscounted Price - CFA (USD <sup>39</sup> )	New Price - CFA (USD)
		(decreased with hope of carbon
		revenues, further decrease are
		planned after revenues are realized)
Extra Large	5,500 (11.74)	4,000 (8.53)
Large	4,000 (8.53)	3,000 (6.40)
Medium	3,500 (7.47)	2,500 (5.33)
Small	3,000 (6.40)	2,250 (4.80)
Теа	1,500 (3.20)	1,000 (2.13)

As highlighted in the column heading on the right, the discount offered in the chart is only the current discount, and once carbon revenues are realized, this discount will increase significantly. The discount will be based on studies of price elasticity of demand to determine what price is required to maximize total sales numbers. Project profitability increases as more stoves are sold, regardless of how much is charged for stoves. This is because carbon revenues from the stoves are worth more than the stoves themselves. As such, the goal will be to maximize sales at any price, while avoiding the situation of giving the stoves away for free since this will cause other unintended consequences of misuse and waste.

• Investment barrier

As highlighted in the UNFCCC additionality tool, credible investment barriers include evidence that "similar activities have only been implemented with grants or other non-commercial finance terms." Katene has been fortunate to receive past support from AMADER and GTZ. While support from GTZ allowed Katene to purchase certain manufacturing equipment, it was AMADER's subsidy program that allowed Katene's activities to reach scale since,

<sup>&</sup>lt;sup>37</sup> Accessed 15 August, 2009: <u>http://www.infosdelaplanete.org/5467/remerciements-a-gerard-druet-1er-delegue-planete-urgence-a-bamako.html</u>, <u>http://www.fondation-poweo.org/index.phtml/content/actions-action projets en cours#plusdinfo</u>, and, Personal interview conducted by phone between Cathy Diam of E+Co and Danielle Roy, Planete Urgence's Coordinator for their Mali Program, 18 August, 2009

<sup>&</sup>lt;sup>38</sup> Cortiglia et al. Using Microfinance to Expand Access to Energy Services: Summary of Findings, November 2007. Sustainable Energy Solutions and the Small Enterprise Education and Promotion (SEEP) Network.

<sup>&</sup>lt;sup>39</sup> Interbank exchange rate from 25 May, 2009. PDD Mali Improved Stoves; E+Co August 2008



as described in the previous section, cost is the primary barrier to widespread use of efficient stoves among the target population of low income Malian households. AMADER's program is, however, no longer available and no foreseeable plans exist to reinstate this program.<sup>40</sup> In the absence of an AMADER subsidy program, sales have steadily decreased and as of 6/2/2009<sup>41</sup>, Katene closed their doors pending news regarding carbon finance. They simply cannot cover costs under current conditions and will be forced to file for bankruptcy if carbon finance is not approved. At the onset of this project activity, when the AMADER subsidy was no longer available, Katene chose to keep prices artificially low and sell below cost to maintain sales levels in hopes that carbon finance would soon fill this gap. The subsidy program had distorted the market to the point where consumers expected this below market price.

The methodology being applied also states that, "...possible reasons (that the project might not take place in the absence of carbon finance) may be that on-going costs for marketing, distribution, quality control and manufacture are not affordable..." As a result of Katene selling stoves below cost to enable sales among the target population, they are in serious financial trouble. In fact, an independent, professional financial audit of Katene's activities in January, 2009 concluded that Katene has been operating at a financial loss since 2004<sup>42</sup>. During some of this period, Katene was able to survive financially because the owner owns two other profitable businesses, a print shop and a private school. Both of these businesses provided income over the last years that subsidized the unprofitable activities of Katene. In other words, the owner was pumping in his own capital to keep Katene afloat. Then the AMADER subsidy ended, further exacerbating losses. Since the project start date, this financial loss has caused serious shortages of working capital for manufacturing, purchasing of raw materials, distribution and marketing. The lack of capital caused a significant decrease in sales since, although the market is willing the buy stoves at below cost prices, Katene is unable to manufacture sufficient volumes since they cannot buy enough supplies and employ enough people to meet demand due to lack of funds. Ultimately, they were forced to close their doors for all of the above mentioned reasons on 6/2/2009<sup>43</sup>. As outlined in the chart below<sup>44</sup>, sales have dropped precipitously in 2008 compared with 2007:

<sup>&</sup>lt;sup>40</sup> Signed letter from AMADER attesting that subsidies are not available.

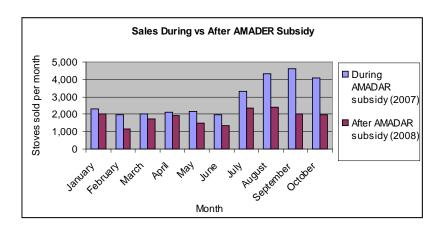
<sup>&</sup>lt;sup>41</sup> Email dialogue between Katene and E+Carbon

<sup>&</sup>lt;sup>42</sup> Rapport d'Audit de la Rentabilite du GIE Katene Kadji: Periode 2004-2008. Cabinet d'Expertise Comptable et d'Audit Nicolas. Bamako, January, 2009.

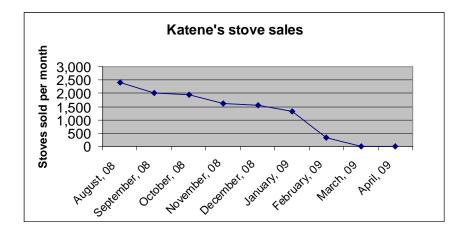
<sup>&</sup>lt;sup>43</sup> Email dialogue between Katene and E+Carbon

<sup>&</sup>lt;sup>44</sup> Katene sales records, reported by Katene and verifiable. PDD Mali Improved Stoves; E+Co August 2008





Yet by February, 2009, Katene was unable to continue operation due to lack of funds and closed its doors, bring sales to zero. The chart on the following page tracks sales from August, 2008 through April, 2009 in the absence of carbon finance<sup>45</sup>:



The UNFCCC additionality tool suggests that credible investment barriers include evidence that "no private capital is available from domestic or international capital markets due to real or perceived risks associated with investment in the country where the proposed CDM project activity is to be implemented."

In the absence of carbon finance, Katene will have no alternatives for private funding, either from domestic or from international sources due to its current financial situation and the challenges of securing a loan from financial institutions in Mali. In fact, a 2007 World Bank survey found that only 10% of Malian firms have a loan or a credit line with financial institutions compared to 22% for the West African region. The same survey found that Malian loan

 <sup>&</sup>lt;sup>45</sup> February, 2009 sales are projected as 25% of January sales based on a 6 February closure date, and March is projected to be zero since the company has closed its doors.
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applicants are often required to provided 179% of the loan amount as collateral compared to 136% for the region <sup>46</sup>. Moreover, Mali's low credit rating of 24.1 out of 100<sup>47</sup>, makes capital exceedingly difficult if not impossible to access. E+Co is considering an investment in Katene, but this will only be attractive if they gain carbon finance revenues as the value simply is not there in its absence.

• Knowledge barrier

The methodology being applied also states that, "...possible reasons (that the project might not take place in the absence of carbon finance) may be that on-going costs for ... marketing and distribution ... are not affordable..."

Indeed, a key obstacle to the project activity taking place in the absence of carbon revenues is a lack of awareness among potential users regarding the benefits associated with SEWA stove use. As Katene expands into new regions, further sales and promotional activities will be required to foster a vibrant market. Non-traditional marketing techniques using informal village networks and other capacity building methods may be as important as traditional marketing approaches such as branding through radio advertisements. In fact, cooking practices are deeply entrenched in culture, and therefore changing them requires a very specific, culturally appropriate and community-based type of marketing that is resource intensive and involves far more than simply paying for radio advertisements.

• Prevailing practice

Habitual use of traditional stoves imposes a very strong influence on the baseline scenario, resulting in continued use of traditional inefficient charcoal stoves. In Mali, efficient charcoal stoves have not been sold in the absence of support programs such as equipment grants, direct subsidy, marketing and training, making this project the first of its kind since it aims to disseminate stoves using only carbon revenues to fulfil these functions.

# Sub-step 3b: Show that the identified barriers would not prevent the implementation of at least one of the alternatives (except the proposed project activity):

*Financial barrier:* Financial barriers will not prevent, alternative 2, the business-as-usual alternative of continuing to use inefficient cooking technologies from occurring. Cooking on three-stone stoves and other ad hoc technologies is virtually free, while inefficient stoves are less expensive than their efficient counterparts, offering fewer financial hurdles. In Bamako for instance, the average sized inefficient "Malgache" stove - the stove most widely used in urban areas - cost about \$2 compared to \$5 for the average sized Sewa stove.<sup>48</sup> Alternatives 3 and 4, cooking with LPG and solar cookers respectively, are eliminated at this stage since they face considerably larger financial barriers than the project activity. Solar cookers can cost from \$30 to over \$100 depending on their design. LPG burners and cylinder cost on the order of \$30, while LPG fuel is significantly more expensive than charcoal and fuelwood. Fuel

<sup>&</sup>lt;sup>46</sup> http://www.enterprisesurveys.org/ExploreEconomies/?economyid=121&year=2007

<sup>&</sup>lt;sup>47</sup> Institutional Investor Magazine. Accessed December, 2008. <u>http://www.iimagazinerankings.com/countrycredit/RegionsRptP3.asp</u>

 <sup>&</sup>lt;sup>48</sup> Personal interviews conducted by E+Carbon staff in stores, markets and among manufacturers, June, 2008.
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mixing in Malian households in common, as was revealed in the kitchen surveys performed under this project. Different fuels are used for different cooking tasks depending on the resources and needs of the household. While LPG is reasonably common in urban and peri-urban areas in Mali - the kitchen survey revealed that 53% of Bamako households without efficient charcoal stoves use LPG at least once - LPG is generally used for boiling water in the morning, for example, a task that does not consume too much fuel. Meeting the thermal requirements needed to cook entire meals with LPG is far more costly. Although solar cookers and LPG are clean and beneficial technological alternatives, they are prohibitively expensive for most Malians.

*Investment barrier*: The investment barriers identified will not prevent the business-as-usual alternative of continuing to use inefficient cooking technologies. Ad hoc technologies such as three stone fires do not require any manufacturing and therefore do not require financing. Inefficient stoves can be manufactured using less expensive and more common practices. Since the target population can afford less expensive inefficient stoves, it is possible to create a market-based business in which profits are realized. When reinvested, these profits lead to excess working capital to grow a company, thereby alleviating investment barriers. Moreover, inefficient stoves can more easily be manufactured in cottage industries and informal economies where traditional investment is not an essential ingredient to success. Manufacturing inefficient stoves requires little equipment and facilities that require financing. Efficient stoves, on the other hand, require capital intensive kilns and other equipment for which formal investment is required. The prevalence of inefficient stoves among the target population supports the assertion that manufacturing and selling such stoves is a profitable venture. Indeed, about 80% of the target population currently cooks with inefficient technologies<sup>49</sup>.

*Knowledge barrier:* Knowledge barriers do not plague inefficient cooking technologies in the same way due to their prevalence. The target population is aware of and accustomed to this technology, and do not require special training or targeted marketing prior to purchasing or using this technology. Knowledge of inefficient stoves is deeply entrenched in Malian culture.

*Prevailing practice:* As explained in previous sections, inefficient stoves are present in most Malian kitchens and are deeply entrenched in Malian culture. They define the prevailing practice and are therefore not deterred by this barrier.

# 4. Common practice analysis.

# Sub-step 4a: Analyze other activities similar to the proposed project activity:

As stated earlier, sales of efficient stoves in the absence of subsidies have not occurred in Mali to date. Since we cannot observe other cases of market-based efficient stove dissemination efforts in Mali, it is instructive to highlight examples in other African nations in similar circumstances. To identify additional examples, we take a nation's per capital gross domestic product (GDP) as a proxy for end users' ability to afford efficient stove technology and a nation's credit rating as a company's ability to gain access to market sources of finance other than carbon finance. We then compare these variables with how efficient stove efforts are being funded in those nations.

<sup>&</sup>lt;sup>49</sup> Schema Directeur d'Approvisionnement (SDA) en bois energie de Bamako (2006) Ministry of Mines, Energy and Water, Mali



<u>Nigeria</u> – With a per capita GDP (PPP) of 2,100<sup>50</sup>, Nigerians should find improved stove technology more affordable than Malians. Moreover, Nigeria's credit rating is 40 out of 100<sup>51</sup>, suggesting slightly fewer barriers to borrow in that nation compared with Mali. Yet an efficient stove project is currently under consideration for CDM funding in Nigeria<sup>52</sup>.

<u>Ghana</u> – With a per capita GDP of \$1,400<sup>53</sup>, Ghanaians' purchasing power is similar to that of Malians'. Their credit rating is 37.6 out of 100<sup>54</sup>, and business loans are very difficult to attain, especially for such ventures as efficient stove manufacturing. Two efficient stove projects are currently under consideration for carbon finance in Ghana<sup>55</sup>.

<u>Uganda</u> – Uganda's per capital GDP of \$1,000<sup>56</sup> also suggests that efficient stoves pose a similar burden on household budgets. With a credit rating of 29.9 out of 100<sup>57</sup>, financing for such business is equally unattainable. Uganda is host to at least one efficient stove project funded entirely through carbon finance<sup>58</sup>.

As is clear from these examples, carbon finance is quickly becoming the primary mechanism with which to fund improved stove technologies in countries with similar economic circumstances to Mali. These examples provide an additional credibility check to the additionality rationale outlined in steps 1-3 above.

# Sub-step 4b: Discuss any similar options that are occurring:

All similar options discussed necessitate access to carbon finance, and thus do not contradict the claim that the proposed project activity is subject to the barriers outlined in step 3.

<sup>&</sup>lt;sup>50</sup> CIA World Factbook, <u>https://www.cia.gov/library/publications/the-world-factbook/geos/ni.html</u> Accessed December, 2008.

<sup>&</sup>lt;sup>51</sup> Insitutional Investor Magazine. Accessed December, 2008. <u>http://www.iimagazinerankings.com/countrycredit/RegionsRpt.asp?PageID=RegionsRpt&Type=1</u>

<sup>&</sup>lt;sup>52</sup> PDD: *Efficient Fuel Wood Stoves for Nigeria*, October, 2008.

<sup>&</sup>lt;sup>53</sup> CIA World Fact Book. Accessed July 30, 2008: https://www.cia.gov/library/publications/the-world-factbook/geos/gh.html

<sup>&</sup>lt;sup>54</sup> Institutional Investor Magazine. Accessed December, 2008. <u>http://www.iimagazinerankings.com/countrycredit/RegionsRpt.asp?PageID=RegionsRpt&Type=1</u>

<sup>&</sup>lt;sup>55</sup> Voluntary Gold Standard APX Registry.

<sup>&</sup>lt;sup>56</sup> CIA World Fact Book. Accessed December, 2008: <u>https://www.cia.gov/library/publications/the-world-factbook/geos/ug.html</u>

<sup>&</sup>lt;sup>57</sup> Institutional Investor Magazine. Accessed December, 2008. <u>http://www.iimagazinerankings.com/countrycredit/RegionsRptP2.asp</u>

<sup>&</sup>lt;sup>58</sup> Voluntary Gold Standard APX Registry. PDD Mali Improved Stoves; E+Co August 2008



Greenhouse gases to be included in the project:

	Source	Gas	Included?	Justification / Explanation
	Cooking,	CO2	Yes	Important source of emissions
line	production of	CH <sub>4</sub>	Yes	Important source of emissions
Baseline	fuel, and transport of fuel	N <sub>2</sub> O	Yes	Important source of emissions

	Source	Gas	Included?	Justification / Explanation
	Cooking,	CO <sub>2</sub>	Yes	Important source of emissions
ect	production of	CH <sub>4</sub>	Yes	Important source of emissions
Project	fuel, and transport of fuel	N <sub>2</sub> O	Yes	Important source of emissions



# B.4. Description of how the definition of the project boundary related to the baseline methodology selected is applied to the project activity:

The project boundary here is defined as the domestic or institutional kitchens of the project population using Katene SEWA stoves. The target area and fuel collection area, as defined in the methodology being applied here, are outlined over the course of the project in the table below:

	Target area	Fuel collection area
Initial	Katene's current distribution network, mostly in Greater Bamako.	The 61 communes that supply fuelwood or charcoal to Bamako according to the "Scheman Report." Each commune is listed in that study, which was the primary source for the non-renewable biomass calculations performed by Berkeley Air Monitoring Group <sup>59</sup> .
Expanded over course of project	Will gradually expand to cover major towns and market centers in all regions of Mali, including Timbouctou, Kidal, Gao, Mopti, Segou, Sikasso, Koulikoro, and Kayes	To be determined based on the monitoring procedures outlined in the methodology and in section D.B. task 1 of this PDD.

As required by the methodology being applied, the non-renewability of biomass being consumed will be reassessed every two years as the target area and fuel collection area expands. Future non-renewability calculations will take into account the expanding geography of end users and apply an appropriate average non-renewability percentage as this geography expands.

B.5. Details of baseline information, including the date of completion of the baseline study and the name of person (s)/entity (ies) determining the baseline:

Baseline monitoring was completed by the Berkeley Air Monitoring Group in May, 2008. Calculations in this document are based on Berkeley Air's findings, presented in full in the Baseline Monitoring Report (Annex 6).

<sup>&</sup>lt;sup>59</sup> Schema directeur d'approvisionnement (SDA) en bois energie de Bamako : Rapport final", Agence Malienne pour le Developpement de l'Energie Domestique et de l'Electrification Rurale, 2006

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# **SECTION C.** Duration of the project activity / Crediting period

C.1 Duration of the project activity:

**C.1.1.** Starting date of the project activity: 27/11/2007 (The start date used is the date when the first carbon finance contract was signed between E+Carbon and Katene)

C.1.2. Expected operational lifetime of the project activity: NA (stoves last for about 3 years and are continuously replaced within the target area.)

#### C.2 Choice of the crediting period and related information:

The crediting period is non-renewable.

- C.2.1. Renewable crediting period: NA
  - C.2.1.1. Starting date of the first crediting period: NA
  - C.2.1.2. Length of the first crediting period: NA
- C.2.2. Fixed crediting period: 10 years
  - C.2.2.1. Starting date: 27/11/2007
  - C.2.2.2. Length: 10 years, 0 months



#### SECTION D. Application of a monitoring methodology and plan

Ongoing monitoring will be conducted according to the prescribed approach in the methodology and as detailed below.

#### A. The recommended monitoring tasks are:

#### 1. Maintenance of a Total Sales Record.

E+Carbon assists the project implementing body Katene to maintain and make available accurate records. E+Carbon collates a composite electronic Total Sales record and Ketene keeps backup paper records. Katene's existing accounting and records system accurately tracks sales, inventories and supply and purchases. Katene maintains a full sales database in Excel of all sales that take place, listed according to the sales mechanism, date and stove type. Sales databases are cross checked with production records and other data to ensure consistency and accuracy.

The Total Sales Record is comprised the following data:

- Date of Sale
- Mode of use: Resale, direct residential use, direct institutional use (sold via which sales depot)
- Model/type of stoves purchased
- Number of stoves purchased

#### 2. Maintenance of a Detailed Customer Record

The Detailed Customer Record is comprised of the following data:

- Date of Sale
- Mode of use: Resale, direct residential use, direct institutional use (sold via which sales depot)
- Model/type of stoves purchased
- Number of stoves purchased
- Name and telephone number
  - All bulk purchasers: stove retailers
  - End users: as many as possible, see below.
- Address: as many as possible, see below.

The sales information is collected using the following methods:

Once an initial database consisting of 613 end users was created using end user cards, from which the baseline assessment was conducted, surveyors now conduct house to house surveys to satisfy the quarterly KS requirement in the methodology. These households are sampled in a geographic proportion consistent with Katene's sales breakdown from the previous quarter.

In terms of process, Katene reports total sales numbers broken down by sales depot to E+Carbon in the form of an excel file with sales depot codes for each sales record. E+Carbon analyzes this data to determine the proportion of PDD Mali Improved Stoves; E+Co August 2008 Page 37



stoves sold via each sales depot. E+Carbon then breaks down the number of KSs that should be performed in each sales area based on the proportion of sales conducted via each depot. E+Carbon sends this analysis to Berkeley Air, who instructs monitoring staff to sample houses based on this proportion for the upcoming quarterly KSs. Such a system allows the project to detect the need for new clusters based on changing sales geography and fuel use patterns, in spite of the illiteracy problem that prevented the collection of end user contact information on an ongoing basis.

Quality assurance measures will be implemented by an external third party, Berkeley Air Monitoring Group, to check the validity records, as discussed in Section D.3, below.

# 3. Continuous updating of the Project Database

The Project Database will be derived from the Total Sales Record, dividing the purchasers into the clusters defined by the most recent KS results, and excluding:

- any sales that do not fall into the cluster categories;
- any sales deemed to be erroneous records; and/or
- stoves within each cluster present on the Sales Record but no longer in use.

# 4. Calculation of emission reductions

Emission reductions should be calculated using the results of the most recent Monitoring KPTs following the methodology. Stove usage should be calculated from the Project Database by counting days of usage since sales date, and then modifying the result by application of Aging and Usage factors derived from the Stove-Age KPT and Usage Survey respectively. Emission reduction calculations will also take into account any findings of the monitoring process with respect to NRB fraction and Net Leakage.

The formulae that will be used in project progress reports are as prescribed for the Baseline Study in the methodology, with the difference that the time period will be quarters rather than years, and the Usage Factor and Aging Factor are added, thus:

$$ER_{q} = \sum BE_{i,q} - \sum PE_{i,q} - \sum LE_{i,q}$$

Where:

 $ER_q = Emission reduction in total project population in quarter q (tCO<sub>2</sub>e/qr)$ 

 $BE_{i,q} = Baseline emissions of cluster i in quarter q (tCO_2e/qr)$ 

 $PE_{i,q}$  = Project emissions of cluster i in quarter q (tCO<sub>2</sub>e/qr)

LE<sub>i,q =</sub> Net Leakage of cluster i in quarter q (tCO<sub>2</sub>e/qr)

Within each cluster the emissions are calculated thus:

Where  $PE_q$  and  $BE_q$  are calculated as set out in the appropriate section, and

 $N_{i,q}$  = the number of Units in cluster i

# B. The recommended periodic monitoring tasks are:

- 1. The renewability status of wood-fuel used by each cluster (NRB fraction) should be re-assessed, bi-annually.
- 2. Survey the Net Leakage factors identified in the PDD and investigate the possibility of new leakage and surplus effects, bi-annually. Many relevant survey questions are included in the KS.
- 3. A Usage Survey should be undertaken bi-annually for sales made in the first year of the project, to establish the fraction of end-users who are no longer using the stove purchased from Katene over time. The sample size will be as defined for the baseline KS. The approach will be to randomly sample from users having made their purchase in the first year of the project.
- 4. A "Stove-age KPT"<sup>60</sup> should be undertaken bi-annually for sales made in the first year for each cluster, to measure emission reduction performance in successive years of stoves of Age x months, Age y months, and so on. A linear extrapolation is applied to all stoves of intermediate age and extended age, when calculating overall project GHG reductions.
- 5. A baseline monitoring KPT should be performed if quarterly KSs suggest this is required. A monitoring KS<sup>61</sup> for sales made in the previous two year, should take place quarterly. The sample size will exceed that defined in the methodology and households will be identified by going house to house. The purpose is, as defined in the methodology, to make observations in kitchens to check that the cluster definitions are appropriate for statistically significant KPTs as well as to confirm that the Project Database is limited to sales eligible for emission reduction calculations. If cluster definitions need to be updated, new KPTs will be performed for each additional cluster identified bi-annually.
- 6. A "New-Stove KPT" should take place for new models and designs upon product launch, and should be repeated bi-annually.
- 7. In addition, the wider social and economic impact of the project should be investigated biannually and an assessment made of its contribution, positive or otherwise, to sustainable development in the area.

<sup>&</sup>lt;sup>60</sup> Monitoring KPTs do not address the baseline scenario.

<sup>&</sup>lt;sup>61</sup> Monitoring KS's are distinct from Baseline KS's in that they do not address the baseline scenario. PDD Mali Improved Stoves; E+Co August 2008



# D.1. Name and reference of approved monitoring methodology applied to the project activity:

Gold Standard Voluntary Methodology entitled: "Indicative Programme, Baseline, and Monitoring Methodology for Improved Cook-Stoves and Kitchen Regimes Version 1"



#### D.2. Justification of the choice of the methodology and why it is applicable to the project activity:

The methodology is specifically designed to match the project conditions.

#### D.2.1. OPTION 1: Monitoring of the emissions in the project scenario and the baseline scenario

# D.2.1.1. Data to be collected in order to monitor emissions from the project activity, and how this data will be archived:

All data will be archived for a period of at least two years after the end of the project crediting period. The table below attempts to simplify the variables from the methodology into what data is actually collected in the field and relate that data to the variables outlined in the methodology. This is useful since many of the variables outlined in the methodology are several steps removed from the data actually collected in the field. A full list of monitored variables according to the methodology is available in annex 3.

ID #	Data variable (relation to variables from methodology)	Source of data	Data unit	Measured (m), calculated (c), estimated (e),	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/ paper)	Comment
1	Non-Renewable Biomass fraction (Xnrb,bl,y & Xnrb,pj,y from methodology)	FAO, FOSA, Rural KS surveys	Xnrb: % non- renewable biomass	М, С, Е	Biannually	Sufficient depth and conservative approach	National Data are electronic. Survey results are paper and electronic	Following approach of baseline assessment
2	Stove Sales (input to variables Bbl,y, Bpj,y from methodology)	Sales Records	Stoves by type	М	Daily	All sales	Electronic and paper	
3	Eligibility of Project database for KPT sampling (input to Bbl,y, Bpj,y from methodology)	Monitoring KS	As specified above	E	Quarterly	As defined in methodology	Quarterly monitoring reports	



4	Emission savings in new clusters <sup>62</sup> (input to Bbl,y, Bpj,y from methodology)	Monitoring KPT	Tonnes of fuel/yr	М	Biannually	Statistically Significant and Representative Sample	Reports	
5	Leakage (directly from methodology)	Monitoring KS	% of total emissions	E	Biannually	As defined in methodology	Annual monitoring reports	
6	Usage drop-off in year y (directly from methodology)	Usage Survey	Fraction	E	Biannually	Statistically Significant and Representative Sample	Electronic	
7	Age - fuel savings per stove due to aging (directly from methodology)	Stove-age KPT	Fraction	М	Biannually	As defined in methodology	Electronic and paper	
8	New Stove (directly from methodology)	New Stove KPT	Fraction	М	Biannually	As defined in methodology	Electronic and paper	

# D.2.1.2. Data to be collected in order to monitor project performance on the most sensitive sustainable development indicators:

Sustainable Development Indicator	Data type	Data variable	Data unit	Measured (m), calculated (c) or estimated (e)
Air Quality	Self-reported IAP reduction, and/or ambient CO & PM concentrations	Reduced indoor air pollution (IAP)	Ambient IAP concentration	М, Е
Livelihood of the Poor	Survey results	Household fuel cost savings	\$ saved/year	М, С
Employment	New employment	Job creation	Jobs/Year	М, Е
Employment quality	Periodic assessment of conditions	Employment	Qualitative	Е

<sup>&</sup>lt;sup>62</sup> Results from monitoring KPT combined with stove sales (ID#2) will determine the values for variables Xre,bl.y, Xre,pj,y, Bbl,y and Bpj,y from methodology. PDD Mali Improved Stoves; E+Co August 2008 Page 42



		quality	assessment	
Access to energy services	Extrapolated based on total sales and	Improved energy	People/year	С
	average household size	access		
Other pollutants	Periodic assessment of conditions	Proper disposal	Qualitative	E
			assessment	

D.2.1.3. Description of formulae used to estimate project emissions (for each gas, source, formulae/algorithm, emissions units of CO2 equ.)

The formulae used are:

$$ER_q = \sum BE_{i,q} - \sum PE_{i,q} - \sum LE_{i,q}$$

Where:

 $ER_q = Emission reduction in total project population in quarter q (tCO<sub>2</sub>e/qr)$ BE<sub>i,q</sub> = Baseline emissions of cluster i in quarter q (tCO<sub>2</sub>e/qr) taking NRB fraction into accountPE<sub>i,q</sub> = Project emissions of cluster i in quarter q (tCO<sub>2</sub>e/qr) taking NRB fraction into accountLE<sub>i,q</sub> = Net Leakage of cluster i in quarter q (tCO<sub>2</sub>e/qr)

Within each cluster the emissions are calculated thus:

$$\begin{split} \mathsf{BE}_{i,q} &= \sum \left( \ \mathsf{F}_{bio,fuel,yr} * \left( \ \% \mathsf{NR}_{bio,fuel,yr} * \ \mathsf{EF}_{bio,fuel,CO2} + \mathsf{EF}_{bio,fuel,non-CO2} \right) * \ \mathsf{D}_{bio,fuel,yr} \right) \\ &+ \sum \left( \ \mathsf{F}_{fuel,yr} * \ \% \mathsf{NR}_{fuel,yr} * \left( \ \mathsf{EF}_{fuel,CO2} + \mathsf{EF}_{fuel,non-CO2} \right) * \ \mathsf{D}_{fuel,yr} \right) \end{split}$$

$$PE_{i,q} = \sum (F_{bio,fuel,yr} * (\%NR_{bio,fuel,yr} * EF_{bio,fuel,CO2} + EF_{bio,fuel,non-CO2}) * D_{bio,fuel,yr}$$
  
\* (1 - U<sub>bio,fuel,yr</sub>))

+ 
$$\sum$$
 ( F<sub>fuel,yr</sub> \* %NR<sub>fuel,yr</sub> \* ( EF<sub>fuel,CO2</sub> + EF<sub>fuel,non-CO2</sub> ) \* D<sub>fuel,yr</sub>

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# \* ( $1 - U_{bio,fuel,yr}$ ) )

#### **Biomass Fuels**

F <sub>bio,fuel,yr</sub> :	Daily fuel savings (tonnes/day) for a given biomass fuel in a given year of usage (baseline, year 1, year 2, etc.) of the project cluster's improved cooking device or regime.
%NR <sub>bio,fuel,yr</sub> :	Fractional non-renewability of biomass baseline for a given biomass fuel in a given year.
EF <sub>bio,fuel,CO2</sub> :	CO2 Emissions Factor (tCO2/t_fuel) for a given biomass fuel <sup>63</sup> .
EF <sub>bio,fuel,non-CO2</sub> :	Non-CO2 Emissions Factor (tCO2e/t_fuel) for a given biomass fuel <sup>64</sup> ; includes all non-CO2 gases accounted.
$D_{bio,fuel,yr}$ :	Days (days) of fuel savings accounted for a given biomass fuel in a given year of usage (baseline, year 1, year 2, etc.) of the project cluster's improved cooking device or regime.
$U_{\text{bio,fuel},\text{yr}}$ :	Annual fractional <i>drop-off</i> in the usage of the project cluster's improved cooking device or regime in a given year of usage (year 1, year 2, etc.).
Non-Biomass Fuels	
F <sub>fuel,yr</sub> :	Daily fuel savings (tonnes/day) for a given non-biomass fuel in a given year of usage (baseline, year 1, year 2, etc.) of the project cluster's improved cooking device or regime.
%NR <sub>fuel,yr</sub> :	Fractional non-renewability for a given non-biomass fuel in a given year. For fossil fuels the value will be 1, for renewables, 0.

<sup>&</sup>lt;sup>63</sup> For a fuel such as charcoal this EF includes CO2 emissions from production and consumption. See Annex 2 for justification of non IPCC EFs used.

 <sup>&</sup>lt;sup>64</sup> For a fuel such as charcoal this EF includes CO2 emissions from production and consumption.
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EF <sub>fuel,CO2</sub> :	CO2 Emissions Factor (tCO2/t_fuel) for a given non-biomass fuel. This can include production and consumption.
EF <sub>fuel,non-CO2</sub> :	Non-CO2 Emissions Factor (tCO2e/t_fuel) for a given non-biomass fuel; includes all non-CO2 gases accounted. This can include production and consumption.
D <sub>fuel,yr</sub> :	Days (days) of fuel savings accounted for a given non-biomass fuel in a given year of usage (baseline, year 1, year 2, etc.) of the project cluster's improved cooking device or regime.
U <sub>fuel,yr</sub> :	Annual fractional <i>drop-off</i> in the usage of the project cluster's improved cooking device or regime in a given year of usage (year 1, year 2, etc.).

D.2.1.4. Relevant data necessary for determining the baseline of anthropogenic emissions by sources of GHGs within the project boundary and how such data will be collected and archived:

ID #	Data variable	Source of data	Data unit	Measured (m), calculated (c), estimated (e),	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/ paper)	Comment
3	Eligibility of Project database for KPT sampling	Baseline KS	As specified above	E	Quarterly	As defined in methodology	Quarterly monitoring reports	
Not included in D.2.1.1.	Traditional Stove Fuel Consumption	Baseline KPT	Tonnes of fuel/yr	М	Biannually	As defined in methodology	Electronic and paper	

D.2.1.5. Description of formulae used to estimate baseline emissions (for each gas, source, formulae/algorithm, emissions units of CO2 equ.)

See Section D.2.1.3.



# D. 2.2. OPTION 2: Direct monitoring of emission reductions from the project activity (values should be consistent with those in section E).

Option 1 chosen due to evolving baseline scenario. See section D.2.1.

D.2.2.1. Data to be collected in order to monitor emissions from the project activity, and how this data will be archived:

Option 1 chosen. See section D.2.1.1.

D.2.2.2. Description of formulae used to calculate project emissions (for each gas, source, formulae/algorithm, emissions units of CO<sub>2</sub> equ.):

Option 1 chosen. See section D.2.1.3.



#### D.2.3. Treatment of leakage in the monitoring plan

D.2.3.1.	If applicable, please describe the data and information that will be collected in
	order to monitor leakage effects of the project activity

ID number (Please use numbers to ease cross- referencing to table D.3)	Data variable	Source of data	Data unit	Measured (m), calculated (c) or estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/ paper)	Comment
1	Fuel- Switching	Monitoring KS	Fraction of HHs switching fuels	E	Biannually	Statistically Significant and Representat ive Sample	Paper and electronic	
2	Further defined Net Leakage factors	Monitoring KS	Emission reduction adjustment factor	E	Biannually	Sufficient depth and conservative approach	Biannual monitoring reports	
3	Undefined Net Leakage factors	Monitoring KS	Emission reduction adjustment factor	E	Biannually	Sufficient depth and conservative approach	Biannual monitoring reports	

# D.2.3.2. Description of formulae used to estimate <u>leakage</u> (for each gas, source, formulae/algorithm, emissions units of CO<sub>2</sub> equ.)

The application of the leakage methodology is discussed in section B.2 above.

The monitoring procedure requires that leakage is re-investigated periodically and any findings taken into account. The governing formulae are:

LE<sub>y</sub> = Leakage in year y – Surplus in year y

where

LE<sub>y</sub> = net leakage in year y (in tonnes CO2e per year) specific to cluster

$$ER_{y} = \sum BE_{i,y} - \sum PE_{i,y} - \sum LE_{i,y}$$

Where:

- ER<sub>y</sub> = Emission reduction in total project population in year y (tCO<sub>2</sub>e/yr)
- BE<sub>i,y</sub> = Baseline emissions of cluster i in year y (tCO<sub>2</sub>e/yr)
- $PE_{i,y}$  = Project emissions of cluster i in year y (tCO<sub>2</sub>e/yr)

# LE<sub>i,y =</sub> Net Leakage of cluster i in year y (tCO<sub>2</sub>e/yr)

# D.2.4. Description of formulae used to estimate emission reductions for the project activity (for each gas, source, formulae/algorithm, emissions units of CO<sub>2</sub> equ.)

The formulae applied for the Baseline Study utilize "Approach 1" as defined in the Methodology:

### a) Baseline emissions:

$$\begin{split} \mathsf{BE}_{\mathsf{y}} &= \mathsf{X}_{\mathsf{nrb}} \cdot \mathsf{B}_{\mathsf{bl},\mathsf{y}} \cdot \mathsf{EF}_{\mathsf{bio},\mathsf{CO2}} + \sum (\mathsf{AF}_{\mathsf{bl},\mathsf{i},\mathsf{y}} \cdot \mathsf{EF}_{\mathsf{af},\mathsf{CO2},\mathsf{i}}) \\ &+ \sum (\mathsf{Non-CO2} \text{ emissions during cooking}) \\ &+ \sum (\mathsf{GHG} \text{ emissions during production of the fuels}) \end{split}$$

Where

BE<sub>y</sub> = baseline emissions in year y (in tonnes CO2e per year) specific to cluster and Unit chosen

X<sub>nrb</sub> = the non-renewable component of the woody biomass harvested in the project collection area

B<sub>bl,y</sub> = the mass of woody biomass consumed during cooking in the baseline each year (in tonnes/year).

 $EF_{bio,co2}$  = the CO2 emission factor for use of the biomass fuel in tonnes CO2 per tonne fuel

 $AF_{bl,i,y}$  = The mass of alternative fuel i in the baseline in year y in accordance with trends projected throughout the project period, in tonnes

EF<sub>af,co2,i</sub> = The CO2 emission factor for use of the alternative fuel i in the baseline in tonnes of CO2 per tonne fuel

Non-CO2 emissions during cooking =  $\sum (B_{bl,y} \cdot EF_{bio,non-co2,i}) + \sum (AF_{bl,i,y} \cdot EF_{af,i,non-co2,gas,i})$ 

GHG emissions during production of the fuels =  $X_{nrb}$  .  $B_{bl,y}$  .  $EF_{bio,prod,co2}$ 

- +  $\sum (AF_{bl,i,y} \cdot EF_{af,prod,co2,i})$
- + ∑ (B<sub>bl,y</sub> . EF<sub>bio,prod,non-co2 gas i</sub>)
- +  $\overline{\Sigma}(AF_{bl,i,y} . EF_{af,i,prod,non-co2 gas i})$

#### Where

EF <sub>bio,non-co2,i</sub>	= Emission factor for GHG gas i in tonnes gas / tonnes wood-fuel
EF <sub>af,i,non-co2 gas i</sub>	= Non-CO2 Emission factor during cooking for alternative fuel i for GHG gas i in tonnes gas /
tonnes fuel	
EF <sub>bio,prod,co2</sub>	= CO2 Emission factor for wood-fuel during production in tonnes gas / tonnes fuel
EF <sub>af,prod,co2,i</sub>	= CO2 Emission factor for fuel i during production in tonnes gas / tonnes fuel
EFbio,prod,non-co2 gas I	= Non-CO2 Emission factor for wood-fuel during production in tonnes gas / tonnes fuel
EFaf,i,prod,non-co2 gas I	= Non-CO2 Emission factor alternative fuel i for GHG gas i during production in tonnes gas /
tonnes fuel	

Project emissions:

Approach 1:

$$\begin{split} \mathsf{PE}_{y} &= X_{nrb} \cdot \mathsf{B}_{pj,y} \cdot \mathsf{EF}_{bio,CO2} + \sum (\mathsf{AF}_{pj,i,y} \cdot \mathsf{EF}_{af,CO2,i}) \\ &+ \sum (\mathsf{Non-CO2\ emissions\ during\ cooking}) \\ &+ \sum (\mathsf{GHG\ emissions\ during\ production\ of\ the\ fuels)} \end{split}$$

Where

PE<sub>v</sub> = project emissions in year y (in tonnes CO2e per year) specific to cluster and Unit chosen

B<sub>pj.y</sub> = the mass of woody biomass consumed during cooking in the project each year (in tonnes/year).

AF<sub>pilly</sub> = The mass of alternative fuel i in the project in year y in accordance with trends projected throughout the project period, in tonnes

Non-CO2 emissions during cooking =  $\sum (B_{pj,y} \cdot EF_{bio,non-co2,i}) + \sum (AF_{pj,i,y} \cdot EF_{af,i,non-co2,gas,i})$ 

GHG emissions during production of the fuels =  $X_{nrb}$ .  $B_{pj,y}$ .  $EF_{bio,prod,co2}$ 

+  $\sum (AF_{pj,i,y} . EF_{af,prod,co2,i})$ 

+  $\sum (B_{pj,y} \cdot EF_{bio,prod,non-co2 gas i})$ +  $\sum (AF_{pj,i,y} \cdot EF_{af,i,prod,non-co2 gas i})$ 

EF<sub>af,pi,i(ebasis)</sub> = The CO2 emission factor for use of the alternative fuel i in the project in tonnes of CO2 per GJ fuel

#### D.3. Quality control (QC) and quality assurance (QA) procedures undertaken for data monitored

Regular monitoring will be performed by E+Co monitoring and evaluation staff and a local surveyor, while quarterly, semi-annual and annual analysis will be performed by a third party, Berkeley Air Monitoring Group.

Data (All from Table 2.1.1)	Data Variable	Uncertainty level of data (High/Medium/Low)	Explain QA/QC procedures planned for these data, or why such procedures are not necessary.
1	Non-Renewable Biomass fraction (Xnrb,bl,y & Xnrb,pj,y from methodology)	Low	These variables will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. A third party will conduct periodic assessments of the fraction of non-renewable biomass by consulting experts, third party research and conducting field surveys as was performed in the baseline assessment. Each assessment will conclude with a report, written by the third party that assesses the fraction of non-renewable biomass. The findings of these reports will be incorporated into annual monitoring reports upon which verifications are based.



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1		1	
2	Stove Sales	Low	Sales records will be cross-checked by 3 <sup>rd</sup> party with Katene financial accounts, production records, retailers' records, observations of retailer activity and observations made during monitoring surveys and tests. This cross check will ensure consistency between these various sources of information to confirm that sales are being reported accurately. Sales records in Excel are sent to the project proponent at least once every quarter, which not only serves to cross check records, but also acts as an electronic backup of records.
3	Eligibility of Project database for KPT sampling (KS)	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. As part of their quarterly assessment, the third party analyzes findings of the kitchen surveys to determine whether new clusters (and therefore new KPTs) are required. The Total Sales Record includes sales location of all stoves, as reported by Katene and Katene's sales outlets. Each sales outlet tracks how many stoves they sell, and reports the number sold to Katene twice per month. However, Katene cross checks these numbers with the number of stoves delivered to the sales outlet for the same period. When any discrepancy exists between the two numbers, the lower of the two are reported in Katene's sales records. These records are sent to the project proponent and third party statisticians. The data is then checked for consistency and accuracy, and is analyzed to determine the geographic breakdown of Katene's sales in that quarter. A local surveyor conducts the kitchen surveys according to this breakdown, going randomly, house to house to identify and survey Katene customers. Using this survey design, an inability to identify households will not affect survey results. Surveys are sent both in hard copy via DHL and in Excel form to the third party statisticians. Allowing the third party to see the paper surveys adds an additional level of QA/QC since they should show signs of having been collected in the field. Third party analyzes the data, cross checking any disparities where appropriate with Katene and surveyor, and writes a report that outlines the quarterly findings. The third party archives all raw data. Findings are summarized and referenced by the project proponent in the annual monitoring report upon



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	1		
4	Emission savings in new clusters (Monitoring KPT)	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. If third party determines that a new cluster is required based on quarterly KSs, third party conducts a KPT among the new cluster in the same way that KPTs were performed as part of the baseline study, archiving all data. The results of the KPT are summarized in a report, which are incorporated into an annual monitoring report upon which verifications are based.
5	Leakage	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. The effect of leakage is assessed by the third party as part of quarterly KSs, and reported on in reports to the project proponent. Findings are incorporated into annual monitoring reports upon which verifications are based. Raw data from KSs and KS results are cross checked for quality and archived by third party.
6	Usage drop-off in year y	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. Usage drop-off will be checked by the third party by surveying the households that were incorporated in the baseline study at least every two years to determine the fraction of stoves that are still operational. Third party archives all data. These results are analyzed and summarized by the third party in reports to the project proponent. The results are incorporated in monitoring reports upon which the annual verifications are based.
7	Age - fuel savings per stove due to aging	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. Third party conducts stove age KPT, archives all raw data and incorporates findings in report to project proponent. The results are incorporated in monitoring reports upon which the annual verifications are based.
8	New Stove	Low	This variable will be monitored by a third party. This will eliminate any incentive to alter data to the project's advantage, which will provide significant QA/QC. Should a new stove model be introduced, third party conducts a KPT with the new model in the same way that KPTs were performed as part of the baseline study, archiving all data. The results of the KPT are summarized in a report, which are incorporated into an annual monitoring report upon which verifications are based.



# D.4. Please describe the operational and management structure that the project operator will implement in order to monitor emission reductions and any leakage effects, generated by the project activity

E+Co employs Monitoring & Evaluation staff that track non-carbon sustainability indicators such as employment and environmental impacts. Carbon related monitoring tasks, such as quarterly KSs, assessment of leakage and other such tasks are handled by a third party, the Berkeley Air Monitoring Group. Berkeley Air Monitoring Group instructs a local surveyor, paid by E+Carbon, to conduct these surveys. Survey results are delivered both electronically and via DHL in hard copy directly to Berkeley Air, who analyze the data and compile quarterly and annual reports. E+Carbon contracts a third party to undergoes additional monitoring tasks, such as creating new clusters and performing additional KPTs for these clusters, based on Berkeley Air's third party recommendations. Berkeley Air Monitoring Group also performs biannual monitoring tasks according to the methodology. The integrity of data is constantly cross checked with other variables to ensure consistency and avoid mistakes.

### D.5 Name of person/entity determining the monitoring methodology:

The monitoring methodology has been determined by application of the generic Gold Standard voluntary methodology "Indicative Programme, Baseline, and Monitoring Methodology for Improved Cook-Stoves and Kitchen Regimes Version 1", developed for a range of improved stove programs.



#### **SECTION E.** Estimation of GHG emissions by sources

The equations used in calculating baseline emissions, project emissions, and emission reductions are as outlined in the Methodology and in section D.2.4 of this PDD.

E.1. Estimate of GHG emissions by sources:

<b>Project Emis</b>	roject Emissions (tCO2e)										
Carbon Flows		Project Ye	ar								
Offset Vintage	Stoves disseminated	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
2008	20,000	28,254	51,699	41,345	29,522	17,731	7,338	1,461	0	0	(
2009	22,000	0	31,079	56,869	45,479	32,545	19,432	8,072	1,607	0	(
2010	24,200	0	0	34,187	62,556	50,145	35,682	21,376	8,879	1,767	(
2011	26,620	0	0	0	37,606	68,985	54,987	39,250	23,513	9,777	1,93
2012	29,282	0	0	0	0	41,592	75,657	60,485	43,175	25,912	10,708
2013	32,210	0	0	0	0	0	45,751	83,223	66,534	47,597	28,39
2014	35,431	0	0	0	0	0	0	50,327	91,545	73,360	52,18
2015	38,974	0	0	0	0	0	0	0	55,359	100,953	80,443
2016	42,872	0	0	0	0	0	0	0	0	61,226	110,71
2017	47,159	0	0	0	0	0	0	0	0	0	67,348
Total Annual C	arbon Volumes (tCO2e)	28,254	82,778	132,401	175,163	210,998	238,847	264,193	290,612	320,593	351,732
								5-y	ear total =	629,593	tCO2e
								10-	year total =	2,095,570	tCO2e

E.2. Estimated leakage:

Leakage is estimated to be 0<sup>65</sup>, as is explained in section B.2.

<sup>&</sup>lt;sup>65</sup> The leakage is calculated to be higher than this, but the KPT method used incorporates several aspects of leakage into the fuel savings numbers. See section B.2. for further explanation.



# E.3. The sum of E.1 and E.2 representing the project activity emissions:

Same as E.1 as leakage is estimated to be 0<sup>66</sup>.

#### E.4. Estimated anthropogenic emissions by sources of greenhouse gases of the baseline:

Baseline Em	issions (tCO2e)										
Carbon Flows		Project Y	ear								
Offset Vintage	Stoves disseminated	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
2008	20,000	37,579	68,763	54,991	39,265	23,583	9,760	1,943	0	0	0
2009	22,000	0	41,337	75,639	60,490	43,287	25,846	10,736	2,137	0	0
2010	24,200	0	0	45,471	83,203	66,696	47,459	28,431	11,809	2,351	0
2011	26,620	0	0	0	50,018	91,753	73,135	52,205	31,274	13,004	2,571
2012	29,282	0	0	0	0	55,320	100,628	80,449	57,425	34,464	14,242
2013	32,210	0	0	0	0	0	60,852	110,691	88,494	63,307	37,772
2014	35,431	0	0	0	0	0	0	66,937	121,760	97,573	69,408
2015	38,974	0	0	0	0	0	0	0	73,631	134,273	106,993
2016	42,872	0	0	0	0	0	0	0	0	81,434	147,260
2017	47,159	0	0	0	0	0	0	0	0	0	89,577
Total Annual C	arbon Volumes (tCO2e)	37,579	110,100	176,100	232,976	280,639	317,680	351,390	386,529	426,405	467,823
								5-у	ear total =	837,393	tCO2e
								10-	year total =	2,787,221	tCO2e

<sup>&</sup>lt;sup>66</sup> The leakage is calculated to be higher than this, but the KPT method used incorporates several aspects of leakage into the fuel savings numbers. See section B.2. for further explanation.



# E.5. Difference between E.4 and E.3 representing the emission reductions of the project activity:

Conservativ	e Project Emissi	on Redu	ctions (tO	CO2e) Fu	el Savings	s Adjustme	ent Factor:	1.00		Leakage:	0%
Carbon Flows		Project Ye	ear								
Offset Vintage	Stoves disseminated	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
2008	20,000	9,325	17,064	13,646	9,744	5,852	2,422	482	0	0	0
2009	22,000	0	10,258	18,770	15,011	10,742	6,414	2,664	530	0	0
2010	24,200	0	0	11,284	20,647	16,551	11,777	7,055	2,930	583	0
2011	26,620	0	0	0	12,412	22,769	18,149	12,955	7,761	3,227	638
2012	29,282	0	0	0	0	13,728	24,971	19,963	14,250	8,552	3,534
2013	32,210	0	0	0	0	0	15,100	27,468	21,960	15,710	9,373
2014	35,431	0	0	0	0	0	0	16,610	30,215	24,213	17,224
2015	38,974	0	0	0	0	0	0	0	18,272	33,320	26,550
2016	42,872	0	0	0	0	0	0	0	0	20,208	36,543
2017	47,159	0	0	0	0	0	0	0	0	0	22,229
Total Annual C	arbon Volumes (tCO2e)	9,325	27,321	43,699	57,813	69,641	78,833	87,198	95,918	105,813	116,091
								5-3	/ear total =	207,800	tCO2e
								10-	year total =	691,651	tCO2e



# E.6. Table providing values obtained when applying formulae above:

Year	Estimation of project activity emissions (tons CO <sub>2</sub> e)	Estimation of baseline emissions (tons CO2e)	Estimation of leakage (tonnes CO <sub>2</sub> e)	Estimation of emission reductions (tons CO <sub>2</sub> e)
2008	28,254	37,579	0	9,325
2009	82,778	110,100	0	27,321
2010	132,401	176,100	0	43,699
2011	175,163	232,976	0	57,813
2012	210,998	280,639	0	69,641
2013	238,847	317,680	0	78,833
2014	264,193	351,390	0	87,198
2015	290,612	386,529	0	95,918
2016	320,593	426,405	0	105,813
2017	351,732	467,823	0	116,091
Total	2,095,570	2,787,221	0	691,651



# **SECTION F.** Environmental impacts

#### F.1. Documentation on the analysis of the environmental impacts, including transboundary impacts:

The host country does not require an EIS. However, the Designated National Authority in Mali has already granted approval of the project (upon their request). This letter specifically highlights that the project is consistent with Mali's environmental regulations. The approval letter is included in Annex 3.

During manufacturing of the stoves, small amounts of paint are used. Although the painting releases fumes, there is no waste from the paint except for paint cans, which are sold to metal scrappers. Used cans are collected in bags to avoid excess release of fumes. Clay is also harvested as a source of raw materials for the ceramic liners. Clay is harvested from a small quarry far from any residential use, and harvesting of clay is not expected to have any consequences on water supplies or the soil's ability to effectively filter groundwater.



# SECTION G. Stakeholders' comments

### G.1. Brief description how comments by local stakeholders have been invited and compiled:

The stakeholder consultation was announced in several ways. First, a full list of potential stakeholders was compiled by all project participants that included a full spectrum of government officials, NGOs, multilateral development organizations, end users and manufactures in Bamako and elsewhere. For those stakeholders that had email addresses, invitations were sent via email. This letter is included in Annex 5. For those stakeholders who lacked email addresses, project participants made in person visits to the offices of each stakeholder in Bamako more than one week in advance to hand deliver hard copies of the invitations. For illiterate stakeholders, project participants relayed the invitation verbally. Finally, the invitation was posted in two local newspapers in Mali.

A total of 53 stakeholders from Mali's government, NGO community, stove users, stove manufacturers, artisans and retailers convened to discuss the carbon finance project aimed at disseminating efficient household cookstoves in Mali. Virtual input was also requested from the 11 invited guests who were unable to attend. One professional note taker was hired to record all comments at the meeting in addition to two professional translators. A videographer filmed the entire event and delivered a professionally edited video, which provided backup to the written notes that were taken during the event.

For more details, the minutes of the Stakeholder Consultation Meeting are included in Annex 5.

### G.2. Summary of the comments received:

Stakeholders overwhelmingly expressed support for and appreciation of the project and its socioeconomic and environmental benefits.

*Literate group* – The overwhelming majority of respondents expressed strong support for the project. Respondents tended to be convinced that the project will promote human well-being and help to safeguard the environment. Respondents sent the clear message that the project has far more positive effects than negative ones. It will reduce exploitation of non-renewable biomass and help combat deforestation and desertification. Moreover, the project will result in increased employment opportunities in Mali. There was some concern regarding the need to handle contaminants during the manufacturing process, such as paint, in an appropriate way.

*Illiterate group* – Illiterate respondents, largely composed of stove artisans and manufacturers, also viewed the project very positively. They felt confident that negative effects on the environment due to handling raw materials and other aspects of the manufacturing process were minimal. More importantly, they were strongly supportive of the project's potential socioeconomic effects, including but not limited to job creation



and improved wages. In spite of expressing strong support for their management, some expressed concern about their current low wages, a condition that they hope will change after receiving carbon finance.

# G.3. Report on how due account was taken of any comments received:

The few recommendations going forward included:

- Confirming that raw materials and waste from manufacturing were dealt with properly.
- Ensuring proper working conditions and pay for those employed in the industry.

Katene has confirmed that all raw materials and waste from manufacturing, especially paint and thinner, will be recycled or disposed of properly. Workers at Katene have started wearing earplugs and face masks are also available to reduce dust exposure.



#### Annex 1

#### CONTACT INFORMATION ON PARTICIPANTS IN THE PROJECT ACTIVITY

Organization:	Katene Kadji
Street/P.O.Box:	Porte: 253 Rue: 199
Building:	
City:	Bamako
State/Region:	Koulikoro
Postfix/ZIP:	
Country:	Mali
Telephone:	(00223) 222 98 08
FAX:	
E-Mail:	sewakadji@yahoo.fr
URL:	
Represented by:	
Title:	Entrepreneur and managing director of Katene Kadjii
Salutation:	Mr.
Last Name:	Samassekou
Middle Name:	S.
First Name:	Ousmane
Department:	
Mobile:	(00223) 673 05 85 / 641 77 00
Direct FAX:	
Direct tel:	
Personal E-Mail:	sewakadji@yahoo.fr

Organization:	E+Carbon
Street/P.O.Box:	383 Franklin St.
Building:	
City:	Bloomfield
State/Region:	NJ
Postfix/ZIP:	07003
Country:	USA
Telephone:	917.225.0125
FAX:	
E-Mail:	
URL:	
Represented by:	
Title:	Carbon Finance Manager
Salutation:	Mr.
Last Name:	Wurster
Middle Name:	
First Name:	Erik



Department:	
Mobile:	
Direct FAX:	
Direct tel:	917.225.0125
Personal E-Mail:	erik.wurster@eandco.net



#### Annex 2

#### **BASELINE INFORMATION**

Data / Parameter:	EF <sub>bl.bio,co2</sub>
Data unit:	tCO2/t_biomass
Description:	CO2 emission factor arising from use of wood-fuel in baseline scenario
Source of data:	2006 IPCC Guidelines for National Greenhouse Gas Inventories, Tables 1.2/1.4
Value applied:	1.747
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.
Any comment:	

Data / Parameter:	EF <sub>pj,bio,co2</sub>
Data unit:	tCO2/t_biomass
Description:	CO2 emission factor arising from use of wood-fuel in project scenario
Source of data:	2006 IPCC Guidelines for National Greenhouse Gas Inventories, Tables 1.2/1.4
Value applied:	1.747
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.
Any comment:	

Data / Parameter:	EF <sub>bl.bio,non-co2</sub>
Data unit:	tCO2/t_biomass
Description:	Non-CO2 emission factor arising from use of wood-fuel in baseline scenario
Source of data:	CH4 and N2O: IPCC 2006 GL for emission factors and NCVs, IPCC SAR 1996 for GWPs.
Value applied:	0.455
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.
Any comment:	

Data / Parameter:	EFpj.bio,non-co2
Data unit:	tCO2/t_biomass
Description:	Non-CO2 emission factor arising from use of wood-fuel in project scenario
Source of data:	CH4 and N2O: IPCC 2006 GL for emission factors and NCVs, IPCC SAR 1996 for GWPs.
Value applied:	0.455
Justification of data choice or description of measurement methods and procedures actually	Default IPCC values for wood / wood waste are applied.



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applied:	
Any comment:	

Data / Parameter:	EF <sub>ch,prod,co2</sub>
Data unit:	tCO2/t_ch
Description:	CO2 emission factor arising from production of charcoal
Source of data:	Emissions of greenhouse gases and other airborne pollutants from charcoal making in Kenya and Brazil, David M. Pennise, Kirk R. Smith, Environmental Health Sciences, University of California, Berkeley, California. Journal of Geophysical Research Vol 106 October 27 2001.
Value applied:	1.802
Justification of data choice or description of measurement methods and procedures actually applied:	There are no IPCC default values available. Therefore, scenario-specific values are applied. The methodology allows for emission reductions from production of fuels (section II, Part 1). The published emission factors in use here are found in Table 6A of "Emissions of greenhouse gases and other airborne pollutants from charcoal making in Kenya and Brazil, David M. Pennise, Kirk R. Smith, Environmental Health Sciences, University of California, Berkeley, California. Journal of Geophysical Research Vol 106 October 27 2001". This table calculates the averages of measured emissions of greenhouse gases from earth mound kilns. Although these measurements were taken in Kenya there is clear evidence that the same techniques for charcoal production are used currently in Mali <sup>67</sup> .
Any comment:	

Data / Parameter:	EF <sub>ch,prod,non-co2</sub>
Data unit:	tCO2/t_ch
Description:	Non-CO2 emission factor arising from production of charcoal
Source of data:	CO2, CH4, N2O GWPs from (IPCC SAR 1996).
Value applied:	0.983
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.
Any comment:	

Data / Parameter:	EF <sub>ch,use,co2</sub>
Data unit:	tCO2/t_ch
Description:	CO2 emission factor arising from consumption of charcoal
Source of data:	Product of NCVch (IPCC 2006 GL default 29.5 MJ/kg) and Emission factor (energy basis) for charcoal (IPCCC 2006 GL default 112 tCO2/TJ) x 10^-3
Value applied:	3.304
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.

<sup>67</sup> *Etude Sur la Disponibilite de Poussier de Charbon a Bamako*, Environnement et Développement du Tiers Monde (ENDA-TM), Dakar, Sénégal, Mars 2004, pg 9.



Any comment:	
Data / Parameter:	EF <sub>ch,use,non-co2</sub>
Data unit:	tCO2/t_ch
Description:	Non-CO2 emission factor arising from consumption of charcoal
Source of data:	CH4 and N2O: IPCC 2006 GL for emission factors and NCVs, IPCC SAR 1996 for GWPs.
Value applied:	0.255
Justification of data choice or description of measurement methods and procedures actually applied:	Default IPCC values for wood / wood waste are applied.
Any comment:	

# Other Baseline Information

Description	Parameter	Value	Units	Source
Non-Renewable Biomass Basel	line (Charcoal)			
Fractional Non-Renewability	%NRbio,ch,yr	51%	fractional	2008 Baseline Study, Project-Specific, as detailed in section B.1.3.1. of the PDD
Non-Renewable Biomass Basel	line (Wood)			
Fractional Non-Renewability	%NRbio,wd,yr	54%	fractional	2008 Baseline Study, Project-Specific, as detailed in section B.1.3.1. of the PDD
Leakage and Surpluss				
Net Leakage	LE	0.00	fractional	2008 Baseline and Monitoring Kitchen Survey
Cumulative Usage				
Usage rate after 1st year	Ubio,ch,yr1	0.90	fractional	conservative projection
Usage rate after 2nd year	Ubio,ch,yr2	0.70	fractional	conservative projection
Usage rate after 3rd year	Ubio,ch,yr3	0.50	fractional	conservative projection
Usage rate after 4th year	Ubio,ch,yr4	0.30	fractional	conservative projection
Usage rate after 5th year	Ubio,ch,yr5	0.10	fractional	conservative projection
Usage rate after 6th year	Ubio,ch,yr6	0.00	fractional	conservative projection
Usage rate after 7th year	Ubio,ch,yr7	0.00	fractional	conservative projection
Usage rate after 8th year	Ubio,ch,yr8	0.00	fractional	conservative projection
Usage rate after 9th year	Ubio,ch,yr9	0.00	fractional	conservative projection
Usage rate after 10th year	Ubio,ch,yr10	0.00	fractional	conservative projection



# **Detailed Carbon Projections**

el-Specific Pa	rameters								Stove sales	s and Usage F	Parameters
						Baseline Fuel	Project Fuel	Average Fuel			
	Type of fuel	Avg. NRB	EF CO2	EF CH4	EF N2O	Consumption	Consumption	Savings	Initia	I Sales (1st year)	20000
		%	tCO2	tCO2e	tCO2e	kg/hh_day	kg/hh_day	kg/hh_day	Annual S	Sales Growth (%)	10%
Biomass 1	Charcoal *	51.00%	5.106	1.141	0.096	2.41	1.79	0.62	Avg. Anr	nual Leakage (%)	0%
Biomass 2	Wood	54.00%	1.747	0.401	0.054	1.25	1.00	0.25	KS A	djustment Factor	1.00
Biomass 3	0	0.00%	0	0	0	0.00	0.00	0.00	A	vg. Annual Sales	31875
Alternative fuel 1	0	-	0	0	0	0.00	0.00	0.00	Avg. S	tove lifetime (yrs)	3
Alternative fuel 2	0	-	0	0	0	0.00	0.00	0.00			
Alternative fuel 3	0	-	0	0	0	0.00	0.00	0.00			
nnual Usage ar	nd Sales Rates					-					
	Project Year	1	2	3	4	5	6	7	8	9	10
	Calendar Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
			70%	50%	30%	10%	0%	0%	0%	0%	0%
tove Usage Rate:	(% in use at end of year)	90%									
tove Usage Rate:	(% in use at end of year) Annual Sales:	<u>90%</u> 20,000	22,000	24,200	26,620	29,282	32,210	35,431	38,974	42,872	47,159
	Annual Sales:	20,000	22,000	24,200				35,431 <b>1.00</b>	38,974		47,159 <b>0%</b>
conservative	Annual Sales: Project Emissio	20,000 on Reduct	22,000	24,200		29,282 avings Adjust		·	38,974	42,872 Leakage:	,
Conservative Carbon Flows	Annual Sales: Project Emissio	20,000	22,000 ions (tCO2) ar	24,200			ment Factor:	·			0%
conservative	Annual Sales: Project Emissio Stoves disseminated	20,000 on Reduct Project Yes 2008	22,000 ions (tCO2) ar	24,200 e) 2010	Fuel S 2011	avings Adjust 2012	ment Factor: 2013	1.00		Leakage:	0%
Conservative Carbon Flows Offset Vintage	Annual Sales: Project Emissio	20,000 on Reduct Project Yea	22,000 ions (tCO2 ar 2009	24,200 e)	Fuel Sa	avings Adjust	2013 2,422	1.00 2014		Leakage: 2016	0%
Conservative Carbon Flows Offset Vintage 2008	Annual Sales: Project Emissio Stoves disseminated 20,000	20,000 on Reduct Project Yes 2008	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646	Fuel S 2011 9,744	avings Adjust 2012 5,852	2013 2,422	1.00 2014 482	<b>2015</b> 0 530	Leakage: 2016	0%
Conservative Carbon Flows Offset Vintage 2008 2009	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000	20,000 on Reduct Project Yes 2008	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011	avings Adjust 2012 5,852 10,742	2013 2,422 6,414 11,777	1.00 2014 482 2,664	<b>2015</b> 0 530 2,930	Leakage: 2016 0	0%
Conservative Carbon Flows Offset Vintage 2008 2009 2010	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	avings Adjust 2012 5,852 10,742 16,551	2013 2,422 6,414 11,777 18,149	<b>1.00</b> <b>2014</b> 482 2,664 7,055	<b>2015</b> 0 530 2,930 7,761	Leakage: 2016 0 0 583	<mark>0%</mark> 20
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955	<b>2015</b> 0 530 2,930 7,761 14,250	Leakage: 2016 0 0 583 3,227	<mark>0%</mark> 20
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2011	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 19,963	<b>2015</b> 0 530 2,930 7,761 14,250 21,960	Leakage: 2016 0 0 583 3,227 8,552	0% 20
Conservative Carbon Flows Offset Vintage 2009 2010 2011 2012 2013	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 24,200 26,620 29,282 32,210	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	1.00 2014 482 2,664 7,055 12,955 12,955 19,963 27,468	<b>2015</b> 0 530 2,930 7,761 14,250 21,960	Leakage: 2016 0 0 583 3,227 8,552 15,710	0% 20 3 9 17
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011 2012 2013 2014	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	1.00 2014 482 2,664 7,055 12,955 19,963 27,468 16,610	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215	Leakage: 2016 0 583 3,227 8,552 15,710 24,213	0% 2 3 3 9 17 26
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011 2011 2012 2013 2014 2015	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	1.00 2014 482 2,664 7,055 12,955 19,963 27,468 16,610	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320	0% 2 3 3 3 17 26 36
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011 2011 2012 2013 2014 2015 2016 2017	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974 42,872	20,000 n Reduct Project Ye 2008 9,325 0 0	22,000 ions (tCO2) ar 2009 17,064	24,200 e) 2010 13,646 18,770	Fuel S 2011 9,744 15,011 20,647	<b>2012</b> 5,852 10,742 16,551 22,769	2013 2,422 6,414 11,777 18,149 24,971	1.00 2014 482 2,664 7,055 12,955 19,963 27,468 16,610 0 0	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215 18,272 0 0 0	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320 20,208 0 105,813	0% 2( 3 3 9 17 26 36 36 22 116
Conservative Carbon Flows Offset Vintage 2008 2009 2010 2011 2011 2012 2013 2014 2015 2016 2017	Annual Sales: Project Emissio Stoves disseminated 20,000 22,000 24,200 26,620 29,282 32,210 35,431 38,974 42,872 47,159	20,000 Project Ye 2008 9,325 0 0 0 0 0 0 0 0 0 0 0 0 0	22,000 ions (tCO2 ar 2009 17,064 10,258 0 0 0 0 0 0 0 0 0 0 0 0 0	24,200 e) 2010 13,646 18,770 11,284 0 0 0 0 0 0 0 0 0 0 0 0 0	Fuel S 2011 9,744 15,011 20,647 12,412 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>2012</b> 5,852 10,742 16,551 22,769 13,728 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<b>2013</b> 2,422 6,414 11,777 18,149 24,971 15,100 0 0 0 0 0 0 0 0	<b>1.00</b> <b>2014</b> 482 2,664 7,055 12,955 19,963 27,468 16,610 0 0 0 0	<b>2015</b> 0 530 2,930 7,761 14,250 21,960 30,215 18,272 0 0 0	Leakage: 2016 0 0 583 3,227 8,552 15,710 24,213 33,320 20,208 0	0% 2( 3 9 17 26 36 22 116 tCO2e



#### Annex 3

#### **MONITORING PLAN**

See section D for detailed outline of the monitoring plan. E+Co has regional monitoring and evaluation officers that will assess Katene's progress on a regular basis. In addition, E+Carbon will hire specialists to perform various tests to be verified on a regular basis, as outlined in section D.

**Specific variables to be monitored** (Alternative fuels variables in methodology omitted since they are not applicable to this project activity):

Data / Parameter:	Xnrb,bl,y
Data unit:	Fraction
Description:	Non-renewability status of woody biomass fuel in year y in baseline scenario
Source of data:	Study
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	Xnrb,pj,y
Data unit:	Fraction
Description:	Non-renewability of woody biomass fuel in year y in project scenario
Source of data:	Study
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	Leakage
Data unit:	t_CO2e per year
Description:	Potential GHG emissions outside project boundary caused by project activity
Source of data:	Study
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	Bbl,y
Data unit:	t_biomass/unit-year
Description:	Mass of woody biomass combusted in the baseline in year y
Source of data:	Measurements of sample or whole of cluster population
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	



Data / Parameter:	Bpj,y
Data unit:	t_biomass/unit-year
Description:	Mass of woody biomass combusted in the project in year y
Source of data:	Measurements of sample or whole of cluster population
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	Usage in year y
Data unit:	Fraction
Description:	Percentage of stoves of age x remaining in use in year y
Source of data:	Survey
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	Age
Data unit:	Fraction
Description:	Adjustment to values of Bpj., y and AFpj.i. y for stoves of age x
Source of data:	Survey
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

Data / Parameter:	New Stove
Data unit:	Fraction
Description:	Adjustment to values of Bpj., y for new stove models
Source of data:	Measurements of sample or whole of cluster population
Monitoring frequency:	Bi-annual
QA/QC procedures:	3rd party study and report – for additional details on QA/QC procedures, see section D.3.
Any comment:	

The host country does not require an EIS. However, the Designated National Authority in Mali has already granted approval of the project (upon their request). This letter specifically highlights that the project is consistent with Mali's environmental regulations. The approval letter is included on the following page.

# 

N° 00249 Mea-sg.

Mécanisme pour un Développement Propre (MDP)

<u>Objet</u> : Lettre de non objection concernant le Projet de Fourneaux à basse consommation de charbon de bois.

#### Monsieur,

Comme suite à la requête de non objection adressée parle GIE Katene Kadji Mali, portant sur le projet cité en objet, j'ai l'honneur de vous informer de ce qui suit :

- Suite à l'examen dudit projet par l'Organe Interne de l'Autorité Nationale Désignée du Mécanisme pour un Développement Propre (MDP) au Mali, en l'occurrence le Secrétariat Technique Permanent du Cadre Institutionnel de la Gestion des Questions Environnementales, il est ressorti que ce projet cadre avec la Politique Nationale de Protection de l'Environnement et les critères de Développement durable définis dans le cadre du MDP au Mali;
- Que le projet, s'il était mis en oeuvre pourrait permettre de contribuer à la réduction des émissions de gaz à effet de serre l'utilisation de fourneaux à basse consommation de bois ;
- 3. Qu'il permettra également la création d'emplois permanents.

Par conséquent, la République du Mali en tant que signataire à la fois de la Convention Cadre des Nations Unies sur les Changements Climatiques et du Protocole de Kyoto, n'a aucune objection quant à la mise en œuvre de ce projet sur son territoire national.

De plus, la République du Mali ne ménagera aucun effort, dans les limites de ses moyens, pour faciliter la validation et la certification des réductions d'émissions générées par le projet.

Vous en souhaitant bonne réception, veuillez agréer Monsieur, l'expression de ma haute considération.



#### Ampliations :

F

Monsieur David Craig, Directeur pour le Mali de la Banque Mondiale, 1818 h Street NW Washington DC;

Monsieur Ousmane S. Samassekou, Promoteur du projet.

MINISTERE DE L'ENVIRONMEMENT ET DE L'ASSAINISSEMENT HAMDALLAYE ACI 2000 – &P 1634 – TEL (223) 229 51 68/72 – Fax (223) 229 51 65/229 51 70 BAMAKO-MALI



#### Annex 4

# LEGAL DOCUMENTATION

This annex offers legal documentation to help prove that double counting can be avoided. In the case that other carbon offset projects are developed for stoves in Mali, proper documentation is required to be sure that these projects do not count one stove as part of both of their projects. In addition to this documentation, SEWA stoves are uniquely identifiable in the field. Although the project developer is not aware of any large scale efficient stove projects in Mali, should one arise, we do not foresee a problem with double counting due to legal precautions already taken and Ketene-specific design attributes of the SEWA stove that make them uniquely identifiable. While there is one other stove program in Mali<sup>68</sup>, the project has not proceeded beyond the pilot phase since it has disseminated less than 1,000 stoves, does not include any carbon finance mechanism<sup>69</sup>, nor is there any reason to believe that the stoves are similar enough in design to be confused in the field.

### Letter of agreement

This was signed between Katene and E+Carbon on November 27, 2007.

(This documentation has been provided confidentially to the DOE.)

# ERPA + Amendment

This was signed between Katene and E+Carbon on December 3, 2007.

(This documentation has been provided confidentially to the DOE.)

### 2nd tier ERPAs

2nd tier ERPAs are already signed with all artisans and companies that supply Katene with stove components.

(This documentation has been provided confidentially to the DOE.)

<sup>&</sup>lt;sup>68</sup> Accessed 15 August, 2009: <u>http://www.infosdelaplanete.org/5467/remerciements-a-gerard-druet-1er-delegue-</u> planete-urgence-a-bamako.html, http://www.fondation-poweo.org/index.phtml/content/actionsaction projets en cours#plusdinfo

<sup>&</sup>lt;sup>69</sup> Personal interview conducted by phone between Cathy Diam of E+Co and Danielle Roy, Planete Urgence's Coordinator for their Mali Program, 18 August, 2009. PDD Mali Improved Stoves; E+Co August 2008



### End user ownership rights waiver

A small piece of paper is included inside each stove so end users, the default owners of emission reductions, are aware that they are giving up their ownership rights to emission reductions in exchange for a discount on their stove. In the future, this may be replaced by a metal plate on the stove explaining the waiver of rights.

Annex 5

# Voluntary Gold Standard Mali Stakeholder Consultation Meeting Minutes

Friday, 27 June, 2008, 10 am - 12 pm CCA ONG Meeting Center, Bamako, Mali

# Summary

A total of 53 stakeholders from Mali's government, NGO community, stove users, stove manufacturers, artisans and retailers convened to discuss the carbon finance project aimed at disseminating efficient household cookstoves in Mali. Virtual input was also requested from the 11 invited guests who were unable to attend. Stakeholders overwhelmingly expressed support for and appreciation of the project and its socioeconomic and environmental benefits. The few recommendations going forward included:

- Confirming that raw materials and waste from manufacturing were dealt with properly.
- Ensuring proper working conditions and pay for those employed in the industry.

# Introduction

The meeting began with a brief introduction of E+Co and the Center for Entrepreneurship in International Health in Development (CEIHD) staff members present. Those conducting the meeting included:

*Catherine Diam* – Ms. Diam is a Monitoring and Evaluation officer for E+Co. A Senegalese national, she is based in E+Co's New Jersey office but spends about 50% of her time in the field in West Africa.

*Erik Wurster* – Mr. Wurster is a Carbon Finance Officer for E+Co and E+Carbon and is based in Boston. He spends extensive time in the field implementing E+Co's carbon finance activities worldwide.

*Evan Haigler* – Mr. Haigler is the Executive Director of CEIHD. He was commissioned by E+Carbon to provide technical assistance in developing this project.

# Presentation summary

Catherine Diam conducted the entire formal presentation in French, while both a professional Bambara translator and the Mali Designated National Authority (DNA), Mr. Boubacar Sidiki Dembele, translated the presentation verbally to Bambara speakers.

Ms. Diam first offered a short history of the project and outlined E+Co as an organization. In addition to E+Carbon's work in the carbon finance arena, E+Co invests business support services and capital in sustainable energy businesses in Africa, Asia and Latin America. E+Co's 15 year track record and investments in 25 countries ensure that they are a reliable and competitant project partner and investor. She outlined Mali's household fuel use conditions, highlighting the public health and environmental PDD Mali Improved Stoves; E+Co August 2008 Page 71



implications of cooking with fuelwood and charcoal in 80-90% of households in Mali. The SEWA stove, which saves some 30% of charcoal compared with its business-as-usual counterpart, has the potential to address this issue. Katene Kadje, the company selling these stoves in Mali, was founded in 1995.

Ms. Diam then outlined cap-and-trade and emissions trading, and explained how the voluntary markets fit within this broader framework. She explained Katene Kadji's sales growth ambitions after carbon finance, outlining how carbon revenues would be utilized. Among other things, one key use of carbon revenues will be to decrease the price of stoves so even the poorest Malians can afford the technology. Ms. Diam finally discussed the environmental and social implications of the proposed project.

Upon completion of the formal presentation, Ms. Diam explained that we would first open the floor to questions by participants (participants were asked to state their names and affiliation prior to each question), and later break into two groups to complete the Gold Standard stakeholder questionnaire. The first group would complete the written questionnaire in French, while illiterate participants would be brought to a separate room where a professional Bambara translator will discuss each question with them and note their responses.

# Question and answer session

Cheick Ahmed Sanago (Malian Government Department of Energy) – Given Mali's vulnerable environment, this is a particularly suitable project that will alleviate pressure that unsustainable fuelwood harvesting is placing on our forests. I support any project that will help put more efficient stoves in Mali's households. Currently the efficient stoves that are sold tend to be used in urban areas, but I would strongly support their use in rural areas as well. Can you elaborate more upon the methodology used to implement this project? Specifically, I am curious to know whether the methodology was developed for use in a developed world context, or whether it was intended for use in a developing world context. Do you think that it is economically and culturally appropriate for our situation in Mali? I see from the project summary that you distributed that Berkeley Air is responsible for some of this monitoring work. Are they conducting all of the monitoring?

Evan Haigler – The Gold Standard methodology used was developed for use specifically on household stoves in the developing world. Just like there are specific methodologies for more efficient centralized power plants, this methodology is specifically designed to account for geographically dispursed households. The methodology requires that we survey a statistically significant and representative subset of end users, and draw conclusions about the entire end user population based on these responses. Household surveys and other methods used were reviewed by several Malian nationals prior to implementation, and adjustments were made based on culturally specific conditions in Mali to improve survey accuracy.



Badou Samounou (Malian Consumer Association) – Mr. Samounou outlined at length his support for the project and reinforced its environmental and public health benefits. He stressed that projects that promote efficient stove use will have a direct effect on reducing charcoal consumption. Interestingly, he pointed out that If Malians keep using firewood at current rates, plant species extinction will have implications on discovery of new medicinal plants. Mr. Samounou asked if we could explain more about the requirements of the Gold Standard, as he does not fully understand their seemingly complex rules. Finally, he expressed curiousity about the broader emissions trading system in which this project will play a part. He wondered why developed nations do not cut their emissions rather than just paying Mali to cut theirs, when indeed, Mali's emissions are insignificant compared to those of most developed nations. He questioned whether such a system is a long term solution to the climate problem.

Boubacar Sidiki Dembele (Mali DNA) – The Mali DNA took the liberty of offering an initial response to one of Mr. Samounou's questions. With respect to international emissions trading, he emphasized that it is less expensive for a country that is in the process of developing their infrastructure to adopt new clean technologies than to require an already developed country to alter existing infrastructure. Therefore, one of the goals of such projects is to leapfrog obsolete technologies and circumvent mistakes that have been made by more developed countries.

Evan Haigler – A functioning cap-and-trade system caps emissions in developed countries, while providing the option to meet some of their emissions reductions by investing in projects in developing countries. This provides an incentive to move capital from developed to developing nations. The initial goal is abating greenhouse gas emissions, yet the final outcome is improved living standards in developing countries – in this case, co-benefits such as curbing deforestation, improving public health creating jobs and promoting sustainable development. Although this project is being structured in the voluntary markets and thus does not comply with a formal cap in the developed world, the principle and the outcome is much the same. But you are quite right, the developed world emits an overwhelming majority of greenhouse gases, and thus should shoulder a higher proportion of the burden to address climate change than their developing world counterparts.

Tiémoko Seuleyman Sahgaré (FDS, a non-profit organization) – What is the price per ton expected in the market for offsets from this project in the international market and what volumes to you expect over time?

Erik Wurster – For the expected volumes of this project, see the project description available at this meeting in hard copy. There is a chart that outlines the expected offsets by year from 2008 through 2017. The price is a bit tricky to identify. There are two broad carbon markets in the world, the compliance markets (under Kyoto) and the voluntary markets. Each have quite different prices for offsets. This project is being structured in the voluntary markets. The offsets are not yet sold, so we do not know the final price. But voluntary market offsets tend to sell in the wholesale markets at anywhere from \$5 to \$15/ton.



Evan Haigler– The price also depends on the volume being sold, the vintage of offsets, the country and sector of origin and the standard according to which the project is developed. This project is originated in a least developed nation, has significant co-benefits and is being developed according to the most rigorous standard currently available within the voluntary markets. This meeting is part of our quest for transparency, which will fulfill a commitment to the Gold Standard to gain their seal of approval. All of these factors suggest that it should sell towards the higher end of the range that Erik mentioned.

Boubacar Sidiki Dembele (Mali DNA) – The DNA offered an analogy that provided some clarity with respect to different market prices for different offsets. When one buys rice, one is offered different qualities of rice, which is reflected in the price. Moreover, the price of rice increases as you get closer to the final product. That is, rice still in the fields is worth less than rice that has been harvested, husked, bagged and brought to market. That is because if one buys rice while still in the field, one shoulders some level of risk that that rice will never make it to market due to drought or other circumstances beyond a farmer's control. The same is true for carbon offsets. If you purchase offsets before they have been brought to market, they are worth less simply because the buyer is shouldering risk of those offsets not being delivered.

# Gold Standard questionnaire

Upon concluding the question and answer session, participants were asked to complete Gold Standard's stakeholder questionnaire. The first group completed the written questionnaire in French, while illiterate participants were brought to a separate room where a professional Bambara translator discussed each question with them and summarized their responses. Although 53 people signed into the meeting on the signup sheet, only 42 attendees completed the Gold Standard questionnaire. Of those, 32 were literate and 10 were illiterate.

A qualitative summary of responses is included below, followed by a quantitative summary addressing each question in the form of two tables. Copies of the original, hand written surveys are available upon request.

# **Qualitative response summaries**

*Literate group* – The overwhelming majority of respondents expressed strong support for the project. Respondents tended to be convinced that the project will promote human well-being and help to safeguard the environment. Respondents sent the clear message that the project has far more positive effects than negative ones. It will reduce exploitation of non-renewable biomass and help combat deforestation and desertification. Moreover, the project will result in increased employment opportunities in Mali. There was some concern regarding the need to handle contaminants during the manufacturing process, such as paint, in an appropriate way.



*Illiterate group* – Illiterate respondents, largely composed of stove artisans and manufacturers, also viewed the project very positively. They felt confident that negative effects on the environment due to handling raw materials and other aspects of the manufacturing process were minimal. More importantly, they were strongly supportive of the project's potential socioeconomic effects, including but not limited to job creation and improved wages. In spite of expressing strong support for their management, some expressed concern about their current low wages, a condition that they hope will change after receiving carbon finance.

<u>Quantitative and qualitative response summaries broken down by question</u> (Note that not all respondents answered each question)

Question #	Environmental Is this likely		ikely	Brief response summary	
	Impacts		to resu signific effect?		
	Yes	No	Yes	No	
1	24	2	10	6	The execution and construction of the project will affect natural resources in a positive way. It will save forest resources and emissions, and decrease consumption of non-renewable biomass.
2	7	21	2	12	Most respondents indicate that there are no negative environmental aspects of the project.
3	2	26	1	14	Most respondents claim that environmental contamination of lands or waters is not an issue with the project.
4	9	15		12	Causing light, heat, noise, vibration or electromagnetic radiation was not identified as a significant concern.
5		32		16	Most respondents claim there is no risk of pollution or contamination of nature.
6	8	25	2	11	There are no areas that will be adversely affected by the project. Some areas that will benefit from the project are protected by Malian law.
7	6	20	1	8	Any adverse effects resulting from manufacturing will only occur in urban areas since the manufacturing facility is in urban Bamako.
8	3	28	3	6	There is no evidence to suggest that manufacturing, selling or using fuel efficient stoves will have a

Range of responses for environmental impacts

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					negative effect on sensitive species.
9	2	19	2	7	No.
10		31		10	No. Of the natural disasters listed, the project can
					only have a positive effect, such as decreasing
					incidence of landslides due to curbed deforestation.

Range of responses for socioeconomic and health impacts

Question #	Socioec and Hea Impacts	lth	Is this likely to result in a significant effect?		Brief response summary
	Yes	No	Yes	No	
11	6	23		17	Of the few respondents who foresaw any issues with substances used in the project, there were minor concerns with paint used to paint stoves. They stressed the need to handle the paint appropriately.
12	9	26		7	Respondents noted that charcoal is required to fire the kilns, however, this would be more than compensated for by saved charcoal from the project.
13	14	12	10	8	The engines used during the manufacturing process sometimes cause noises but have no effect on health.
14	4	22		6	Pollution will be insignificant.
15	20	6	9	3	Some answered that workers could face accidents on the job. Workers could be injured by manufacturing equipment.
16	22	2	11	5	Some noted the risk of injury during manufacturing of stoves, and the need to ensure a safe working environment.
17	2	24		6	No.
18	2	23		8	No.
19	17	9		11	Only retail sales activity will be highly visible, as it should be.
20	3	18		10	No, the manufacturing facility is located far from these places.
21	1	16		13	No.
22	4	20	3	24	Stoves are produced in a confined area that dos not have any such resources.

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23	22	26	No. Of the natural disasters listed, the project can only have a positive effect. However, from a socioeconomic or health perspective, this positive effect will be negligible in the context of such natural events.
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# Sign in sheet of all stakeholders in attendance

Nom	Prénom	Encerclez votre choix	Nom de votre organisme/ compagnie	Fonction ou Activité	Adresse Complète	E-mail	Numéro de Téléphone	Signature
Frank !	Manaum	Usager - Artisan (NG) Gouvernement Université	CAFO	organisativo	loonimune 3 Badio la 1 Rai 162 polities		<del>68/- 446</del> 1 463 - 0289	AL
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Barry	Hamadou	Usager - Artisan (AG) Gouvernement Université	Fourneux SE-WA	Agent Commercial	Soginiko Komunial		6055750	-
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**Consultation des Parties** 



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**Consultation des Parties** 

Nom	Prénom	Encerclez votre	Nom de votre organisme/ compagnie	Fonction ou Activité	Adresse Complète	E-mail	Numéro de Téléphone	Signature
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**Consultation des Parties** 

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### Virtual stakeholder consultation

Since not all invitees attended the event in person, invited guests were given the opportunity to comment on the project design virtually via email. The project summary was distributed in French, in addition to Gold Standard's stakeholder consultation questionnaire. One additional questionnaire was received via this forum, the response from which is reflected in the records above. The following 11 stakeholders were invited to comment on the project design after the in person consultation took place:

Name	Given name	Title	Affiliation	E-mail address
Dembele	Toumany		STP/Ministere de L'Environnement	toumanydem5@yahoo.fr
Bürer	Meinrad	Technical Director	Gold Standard Foundation	meinrad@cdmgoldstandard. org
Schlup	Michael	President	Gold Standard Foundation	michael@cdmgoldstandard.o rg
Tyler	Emily		Gold Standard Foundation - South Africa Expert	emilyt@genesis- analytics.com
Coche	Laurent	Coordonnateur Programme Regional Energie- Pauvreté/PTF	UNDP Regional Programme	laurent.coche@undp.org
		Responsable Micro- Entreprises et AGRs Programme Régional Energie-		
Oualy	Aboubacar	Pauvreté/PTF Resident	UNDP Mali	bouba.oualy@ptfm.net
Byll-Cataria	Joseph	Representative UNDP Mali	UNDP Mali	joseph.byll- cataria@undp.org
Poinsot Newton	Philippe Alexander	Mission Director	UNDP USAID - Mali	philippe.poinsot@undp.org anewton@usaid.gov
Haïdara	Moussa Doudou	World Bank Private Sector Liaison Officer	Chambre de Commerce et d'Industrie du Mali (World Bank Private Sector Liaison Officer)	haidara_moussa@yahoo.fr
Diarra	Moussa	Communications officer	World Bank Mali	mdiarra@worldbank.org



### Brief description how comments by local stakeholders have been invited and compiled

The stakeholder consultation was announced in several ways. First, a full list of potential stakeholders was compiled by all project participants that included a full spectrum of government officials, NGOs, multilateral development organizations, end users and manufactures in Bamako and elsewhere. For those stakeholders that had email addresses, invitations were sent via email. This letter is included on the following page.



# Stakeholder invitation letter

	E+CO			
	ENERGY THROUGH ENTERPRISE			
Nicholas Parker Chair Canada	Joudi 12 Juin 2008			
Jacqueline Aloisi de Larderel France	Objet : Consultation des Parties Prenantes			
Christine Bergeron Canada	Cher Monsieur ou Madame:			
John B. Gilliand United Statos Guy Kern-Martin South Africa	Nous avons le plaisir de vous inviter à participer à une réunion consultative pour discuter des impacts sociaux et environnementaux d'un projet de vulgarisation de foyers améliorés au Mali. La réunion aura lieu le Vendredi 27 Juin, 2008 de 10:00 à			
Pleter van Tuyli The Netherlands	midi au CCA ONG à Bamako au Mali. Une grande partie des ménages maliens utilise des fourneaux à charbon de bois qui			
Philip LaRocco Executive Director Christine Elbs Singer Deputy Executive Director	sont peu efficaces. Ceci à des effets néfastes sur la sante publique à cause de la pollution intra-domiciliaire. Elle entraine aussi le déboisement et provoque une émission assez abondante de gaz à effet de serre qui sont à la base des changements climatiques. L'utilisation des foyers à basse consommation de charbon pourrait atténuer ces effets. Ce projet a pour but d'employer la finance carbone pour amener plus de gens à utiliser les foyers améliorés.			
Jacqueline Robinson Treasurer Gina Rodolice Secretary	Au cours de la réunion, vous aurez l'occasion de donner votre point de vue sur le projet pour assurer que son exécution se fasse de la façon la plus efficace et la plus avantageuse possible sur le plan social et environnemental.			
Representation In: Bolivia Brazil China Costa Rica Ghana The Netherlands South Africa Thalland United States	Nous vous prions de bien vouloir transmettre cette invitation à vos collègues qui s'intéressent à ce projet. Toutes les parties intéressées sont conviées. Veuillez répondre à cette invitation avant le 20 Juin 2008 en envoyant un courrier électronique à <u>servakadji@yahoo fr</u> et en mettant en copie <u>enk.wunter@eandco.net</u> et <u>catherine.diam@eandco.net</u> . Si vous ne pouvez pas répondre avant le 20 Juin, nous vous prions tout de même d'être présent. Dans l'attente de vous rencontrer et de travailler avec vous pour améliorer la santé des populations maliennes et lutter contre le déboisement, nous vous prions d'agréer l'assurance de notre très haute considération.			
E+Co 363 Franklin Street Bicomfield, NJ 07003 Tel: +1-073-680-00100 Faa: +1-073-680-0066 www.EandCo.net	Observent Januarien Ousmane Samassekou Directeur GIE Katene Kadji Catherine Dian GIE Katene Kadji Catherine Dian Evaluation Officer E+Co			
	"Best in America" 2008, Independent Charities of America			



For those stakeholders who lacked email addresses, project participants made in person visits to the offices of each stakeholder in Bamako more than one week in advance to hand deliver hard copies of the invitations. For illiterate stakeholders, project participants relayed the invitation verbally. Finally, the invitation was posted in two local newspapers in Mali. Each advertisement appears below:

# Advertisement 1





### Advertisement 2



One professional note taker was hired to record all comments at the meeting in addition to two professional translators. A videographer filmed the entire event and delivered a professionally edited video, which provided backup to the written notes that were taken during the event.



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# Full Stakeholder Invitee List

	Last				
	name	First name	Organization	E-mail address	Telephone number
1			STP/Ministere de		(223) 223 1074, cell:
1	Dembele	Boubacar Sidiki	L'Environnement	boubacar_dembele@yahoo.fr	(223) 673 1538
2	Domholo	Toumony	STP/Ministere de	toumonudom Couchoo fr	NIA
2	Dembele	Toumany	L'Environnement	toumanydem5@yahoo.fr	NA
3	Togola	Ibrahim	Mali Folkecenter	NA	NA
4	Diarra	Mahamadou	Mali Folkecenter	NA	NA
5			Mali Folkecenter	NA	NA
			Gold Standard		
6	Bürer	Meinrad	Foundation	meinrad@cdmgoldstandard.org	NA
			Gold Standard		
7	Schlup	Michael	Foundation	michael@cdmgoldstandard.org	NA
			Gold Standard		
			Foundation -		
			South Africa		
8	Tyler	Emily	Expert	emilyt@genesis-analytics.com	NA
					<b>T</b>   001 00 0/7 07 01
					Tel: + 221- 33 867.27.91
					Port/mobile: + 221- 77
			UNDP Regional		637 97 44
9	Coche	Laurent	Programme	laurent.coche@undp.org	Fax: + 221- 33 867.22.55
					Tél: + 221- 33 867.27.96.
					Fax: + 221- 33 867.27.96.
10		Aboubasar		houbs auguanter not	Port/mobile: + 221- 77
10	OUALY	Aboubacar	PNUD	bouba.oualy@ptfm.net	540.88.40



				1	1
11	BYLL- CATARIA	Joseph	PNUD	joseph.byll-cataria@undp.org	Switchboard/Receptionist (223) 222 01 81 Resident Representative (223) 222-20-52
12	POINSOT	Philippe	PNUD	philippe.poinsot@undp.org	Switchboard/Receptionist (223) 222 01 81
13	General invitation		ELCOM/GTZ	NA	NA
14	General invitation		AMADER	NA	NA
15	General invitation		CAFO	NA	NA
16	General invitation		Ministere de la Sante	NA	NA
17	General invitation		DNCN	NA	NA
18	General invitation		DNAPCM	NA	NA
19	General invitation		PAPE/GTZ	NA	NA
20	General invitation		CNESOLER	NA	NA
21	Dembele	Bakarou	Artisan/revendeur	NA	(223) 648-5761
22	Doumbia	Daouda	Artisan/revendeur	NA	(223) 469-8227
23	Kamate	Abdoulaye	Artisan/revendeur	NA	(223) 916-6853
24	Mariko	Mamadou	Artisan/revendeur	NA	(223) 913-9106
25	Kante	Issa	Artisan/revendeur	NA	none

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26	Daou	Moussa	Artisan/revendeur	NA	(223) 610-8832
	2404				
27	Konate	Mahamadou	Artisan/revendeur	NA	(223) 531-0364
20					N 4
28	Dembele	Abdoulaye	Revendeur	NA	NA
29	Sylla	Mohamed	Revendeur	NA	NA
30	Doucoure	Bakome	Revendeur	NA	NA
31	Sow	Alhousseini	Revendeur	NA	NA
01	0011	711100330111	Association		
			Malienne pour la		
	General		Protection de		
32	invitation		L'Environnement	stopsahel@datatech.toolnet.org	(223) 647 1496/223 3380
	General				
33	invitation		Association Kilabo	kilabo@spider.toolnet.org	(223) 222-3652
			Fondation pour le		
	General		Developpement		/
34	invitation		du Sahel	fds@malinet.ml	(223) 223 4108
25	General		Groupe Action		(222) 221 1225
35	invitation		Developpement	gad@datatech.toolnet.org	(223) 221-1325
36	General invitation		Developpement a la Base	donko@datatech.toonet.org	(223) 221-3881
30	IIIVILALIUII		Cabinet de	<u>donko@datatecn.toonet.org</u>	(223) 221-3001
			Recherche Action		
			pour le		
	General		Developpement		
37	invitation		Endogene	crade@afribone.net.ml	(223) 229-7005
			Association		
			Malienne pour la		
			Conservation de		
	General		la Faune et de		
38	invitation		l'Environnement	amcfe@afribone.net.ml	(223) 223 5179
			Association		
			Malienne pour la		
20	General		Sauvegarde du		
39	invitation		bien-etre Familial		
			Association		
	General		Malienne pour la Promotion du		
40	invitation		Sahel	amapros@datatech.toolnet.org	(223) 229-5395
40	invitation		Janei	ลาาลุยางระบดเลเซียาเเบิงเทศเ.บไป	(223) 227-3373

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52	Diarra	Moussa	World Bank Mali	mdiarra@worldbank.org	(223) 222 22 83
51	Haïdara	Moussa Doudou	Chambre de Commerce et d'Industrie du Mali (World Bank Private Sector Liaison Officer)	haidara_moussa@yahoo.fr	NA
50	Newton	Alexander	USAID - Mali	anewton@usaid.gov	223-222-3684
49	General invitation		USAID - Mali	lchandonnet@usaid.gov	NA
48	General invitation		USAID - Mali	mdoumbia@usaid.gov	NA
47	General invitation		Regroupement des Consommateurs du Mali	NA	NA
46	General invitation		Carrefour Developpement	card@cefib.com; diabiroumaiga@yahoo.fr	(223) 224-5210/671-0163
45	General		Association pour le Developpement des Activites de Production et de Formation	alassane@ceci.ca	(223) 221-0033/ 229-4844
44	General invitation		Action Couverture et Developpement	NA	(223) 220-3076
43	General invitation		Association Malienne d'Initiatives et d'Actions pour le Developpement	aidmali@spider.toolnet.org	(223) 229-8158
42	General invitation		Association pour le Developpement du Sahel	NA	(223) 679-7249
41	General invitation		Agence du Kharta pour l'Action et la Recherche Developpement	akared@spider.tooInet.org	(223) 229-1518

Annex 6



2124 Kittredge Street #57, Berkeley, CA 94704

# Carbon Monitoring Report on the Sewa Improved Charcoal Stoves of Katene Kadji, Mali

for

**E+Carbon** 

prepared by:

**Berkeley Air Monitoring Group** 

**August 2008** 

# I. Methods and Approach

# I.A. Kitchen Survey

About 95% of Katene's current Sewa charcoal stove sales occur in Bamako. Kitchen Surveys were therefore administered on customers within Bamako's six sub-regions (or communes). As Katene is beginning to market stoves in other regions of the country, namely the cities of Segou, Mopti, and Sikassou, future, on-going KSs may be performed in such other regions of Mali. 149 KSs were collected in total by a field team of three plus one supervisor in March 2008. The KS households were chosen from Katene Kadji's sales record using clustered random sampling inside of three areas of Bamako, covering two communes and eight districts of the city.

The three chosen survey areas in Bamako were a part of Katene's highest sales regions and were representative of and demographically similar to Katene's typical Bamako customer. The breakdown of the KSs by area, commune, and district is shown below:

- 57 surveys in Commune 6 from the following districts: Banakabougou (8), Faladie (14), and Magnombougou (35)
- 56 surveys in Commune 6 from the following districts: Songoniko (25) and Sagoniko (31)
- 36 surveys in Commune 5 from the following districts: Torokorobougou (22), Senou (1), and BACO-ACI (13)

# I.B. Kitchen Performance Test

Kitchen Performance Tests (KPTs) were performed in 53 households (HHs) in Bamako by a field team of four and a supervisor in April 2008. The KPT was conducted over three full days, requiring daily household visits for four days. Charcoal and, where applicable, fuelwood, were weighed daily using Accu-Weigh spring scales. A survey was also administered daily to record information about cooking stove and fuel usage, the number and type of meals prepared, and the number of people cooked for. The KPT was performed using a Before and After (paired) study design. The KPT was performed in the households Before the introduction of the Sewa charcoal stove (traditional charcoal stove phase), the Sewa stove was then introduced, the HHs were then given several days to become accustomed to the Sewa stove, and finally the After KPT was performed.

The KPT households were selected using screening criteria based on the 149 KSs, so as to be representative of the typical Sewa stove customer. KPT households came from four communes covering six districts of Bamako. The breakdown of the 53 KPT households by commune and district is shown below:

- 14 KPTs in Commune 2, from the following district: Quinzambougou
- 14 KPTs in Commune 3, from the following districts: Dar Salam (5) and N'tomikorobougou (7)
- 13 KPTs in Commune 5, from the following districts: Kalabankoro (12) and Bacodjicoroniaci (1)
- 12 KPTs in Commune 6, from the following district: Faladie

The KSs revealed that about 45% of Sewa stove purchasers also cooked daily with fuelwood; this percentage was generally confirmed by local knowledge of the Sewa stove customers. Hence, the screening criteria were used to include both HHs who were not fuelwood users and HHs who were daily fuelwood users. In the end, the KPT included 35 HHs who did not use fuelwood and 18 who used fuelwood daily.

Katene Kadji's sales record of 4579 Sewa charcoal stoves sold in December 2007 through February 2008 was analyzed to determine the percentage of sales for each of their five stove models. This data is shown in Table 1 below.

Stove Type	Percentage of Sales
Super Grand	28.1%
Grand	51.9%
Average	19.3%
Small	0.3%
Tea stove (smallest)	0.4%

Table 1. Percentage of Sewa stove sales by stove type.

The KPT focused on two of Katene's Sewa stove types, the most popular Grand model and the Average model. The low sales percentages of the Small and Tea models helped to inform this decision. Hence, of the 53 KPT HHs, 33 HHs were given Grand stoves and 20 HHs were given Average stoves. As the stove sizes are typically matched to family size, HHs with a family size of 10 or greater were given the Grand stove, while those of 9 or less were given the Average stove.

# I.C. Non-renewable Biomass Baseline Study

Non-renewable biomass baseline (NRBB) research is typically performed via desk research combined with visits to local experts in forestry and energy. Interviews and site visits held incountry generate the data used in the quantitative determination of the percent non-renewability in the area.

Between March 6<sup>th</sup> and March 27<sup>th</sup>, 2008 the Berkeley Air field team conducted non-renewable biomass baseline research in the fuel supply basin around Bamako, Mali. In particular, this research was performed to determine to what extent the project population's use of woody biomass for charcoal is not balanced by re-growth in the supply area.

### Interviews Held

- Malian Consumer's Association
- Ministry of Forestry

- Kasela Charcoal-Maker's Collective
- Ministry of Mines, Energy and Water
- Ministry of Environment
- Malian Agency for Domestic Energy Development and Rural Electrification (AMADER)

- United Nations Development Programme (UNDP)

### Documents Consulted

- "Schema directeur d'approvisionnement (SDA) en bois energie de Bamako: Rapport final", Agence Malienne pour le Developpement de l'Energie Domestique et de l'Electrification Rurale, 2006
- Food and Agriculture Organization of the United Nations, <u>Forestry Outlook Study for Africa (FOSA)</u>, Subregional Report: West Africa, 2003.

- "Is there a fuelwood crisis in rural Mali?" Banjaminsen, Tor A. 1997 (October) *Kluwer Academic Publishers* 

- "Charcoal production and use in Africa: what future?" Girard, P. 2002, Unasylva 211, Vol. 53

- "Actualisation des donnees statistiques sur l'energie domestique malienne", Ministere des Mines, de

L'Energie et de l'Eau, Direction Nationale de l'Energie, le Gouvernment du Mali

- "La biomasse au Mali: Situation actuelle et perspectives", Sanogo, Cheick Ahmed. Conference Internationale sur la biomasse, Rome 10-14 Mai, 2004.

- "Enquete de consommation de combustibles domestiques au Mali", réalisé par le BEAGGE, Permanent Interstate Committee for Drought Control in the Sahel, August 2004

- 2004 Masters Thesis from University of Sorbonne

- "Etude sur la disponibilite de poussier de charbon a Bamako" Sanogo, C.A. and CRETAS, March 2004

- Food and Agriculture Organization of the United Nations, <u>The State of the World's Forests</u>, Ministry of the Environment, Mali

### Quantitative Approach

The quantitative approach for calculating  $X_{nrb}$  (non-renewability fraction) requires defining the supply area, mean annual increment, and annual harvest for the Project Area.

### Supply area (A):

The fuel supply area for Bamako is generally defined as the 61 communes that contribute to fuelwood or charcoal supply in Bamako according to the "Schema Report."

### Mean annual increment (MAI):

MAI is the annual amount of biomass regrowth within the supply area, either from natural vegetative growth or replantings. Although the MAI would ideally be calculated for biomass used for charcoal production only, the growth and renewability of wood converted to charcoal cannot be separated from the renewability of fuelwood in general, because the same tree species are often used for both fuel types. Consequently, in this case, we focused on the MAI for total woodfuel (charcoal + fuelwood) production.

### Annual harvest (H):

H is the total annual amount of biomass removed from the supply area. While we can define H for charcoal, in order to accurately compare H to MAI, we must use figures for total woodfuel harvest.

Given the above, the non-renewability fraction is calculated as:

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$$X_{nrb} = 1 - (MAI/H)$$

# **II. Results**

# II.A. Kitchen Survey

Among the 149 Kitchen Survey respondents (who had already purchased a Sewa improved charcoal stove), the number of people being cooked for in these household covered a wide range, with an average of 11.4 and a median of 10, as shown in Figure 1 below.

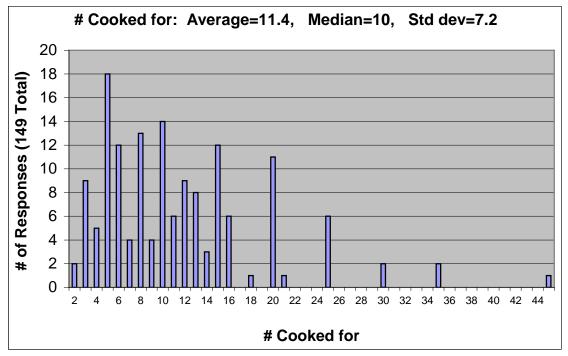


Figure 1. KS responses for the number of people being cooked for.

The data in Figure 1 was used to create criteria for the KPT household selection process. In order to cover the typical range of people being cooked for and to avoid the outliers, households cooking for between 4 and 19 people were included in the KPT. Very large family sizes (greater than 19) were excluded from the KPT so as to be conservative with overall fuel savings estimates and to avoid performing the KPT in these less common situations where multiple families share cooking stoves and food, making it difficult to track and weigh the charcoal associated with one household's charcoal stove.

The survey results on fuelwood and LP gas use are shown in Table 2 below.

Table 2. Survey results on the number of households using fuelwood and LP gas at least once per day, Before and After purchase of a Sewa stove.

	Before Sewa (HHs with 1 or more uses per day)	After Sewa (HHs with 1 or more uses per day)
Fuelwood	67 of 149 (45%)	39 of 149 (26%)
LP Gas	79 of 149 (53%)	65 of 149 (44%)

Table 2 shows that 45% of Sewa customers reported cooking with fuelwood at least once per day before they purchased their Sewa stove. This data led to the KPT household selection criteria that approximately 45% of the HHs should be daily fuelwood users. Table 2 also shows the relatively common usage of LP gas, both before and after purchase of the Sewa stove. LP gas usage was not included in the KPT HH selection process, due to the fact that its use goes down, though not dramatically, after purchase of a Sewa stove and the difficulty of quantifying LP gas use over a 3-day period. The average number of times LP gas was used per day in the survey group was 0.84 before and 0.63 after, a significant reduction (p=0.027).

The KS thus identified two potential clustering criteria for Sewa stove users, that of daily usage of fuelwood or not. The size of Sewa stove was the other factor considered as a clustering criterion for estimating fuel savings.

# II.B. Kitchen Performance Test

### Measured Charcoal Savings Results

Table 3 below summarizes the charcoal use results of the 3-day KPT before and after purchase of a Sewa stove, in units of kilograms per household-day (kg/HH-day). The charcoal savings (Before – After) is also shown, along with the p-value of a paired, two-sided t-Test for significance. Results for all 53 households and each of the four sub-groups are shown.

Table 3. Daily charcoal use results of the KPT in kg per household per day (kg/HH-day). The standard deviations are shown in parentheses.

Sub-group	# of HHs	Before Sewa charcoal use	After Sewa charcoal use	Charcoal Savings, Before-After	t-Test
		(kg/HH-day)	(kg/HH-day)	(kg/HH-day)	(p value)
All households	53	2.9 (1.5)	2.1 (1.3)	0.82 (1.1)	0.000001
No fuelwood HHs	35	3.1 (1.5)	2.2 (1.4)	0.88 (0.9)	0.000004
Daily fuelwood HHs	18	2.5 (1.4)	1.8 (1.2)	0.71 (1.3)	0.037
Grand stove	33	3.2 (1.7)	2.2 (1.4)	0.94 (1.2)	0.0001
Average stove	20	2.4 (1.1)	1.8 (1.1)	0.62 (0.9)	0.007

As the t-Test p-values in Table 3 above reveal, all groups shown above had significant charcoal savings. There was, however, no statistical difference in the charcoal savings between the '*No fuelwood*' and '*Daily fuelwood*' sub-groups; the p-value of the t-Test comparing the two sub-groups was 0.63.

Therefore, daily use of fuelwood or not was not considered a valid clustering criterion, as this criterion had so little effect on the measured charcoal savings.

The difference in charcoal savings between the Grand stove and the Average stove was statistically stronger; the p-value of the t-Test comparing the two sub-groups was 0.27. While not significant at the 0.05 level, it was nonetheless deemed valuable to assign the two stove sizes different charcoal savings values. Thus, size was determined to be a legitimate clustering criterion and each of the five stove sizes sold by Katene were treated as their own cluster, with fuel savings assigned to each using either measured or estimated methods, as described below.

#### Charcoal Savings for Super Grand, Small, and Tea Stoves

Daily charcoal savings for the Super Grand, Small, and Tea stoves were estimated based on the fuel savings results for the Grand and Average stoves.

Larger stoves typically save more fuel (i.e. charcoal) than their smaller counterparts on a daily and annual basis for two reasons. First, larger stoves have greater capacity and can accommodate more fuel and perform more cooking. Second, larger stoves have higher thermal efficiency than smaller ones, mostly due to a lower ratio of surface area to volume and, therefore, less heat loss, as heat loss is proportional to the surface to volume ratio. The KPT results herein demonstrate this effect as well, as the Grand stove saved 0.94 kg/HH-day compared to the Average stove's savings of 0.62 kg/HH-day. Keeping in mind thermodynamic properties, the KPT results, and applying our considerable field experience, we propose three different approaches for estimating the fuel savings of the three non-measured stoves.

One approach (#1) to estimating the non-measured stove savings was to multiply the Average stove savings by the ratio of the Average stove savings to the Grand stove savings (Average/Grand). The Tea stove savings could be estimated by multiplying the Average stove savings by the Average/Grand ratio a second time. These calculations are shown below:

Average/Grand = (Average savings)/(Grand savings) = 0.62/0.94 = 0.66

Small stove savings = Average savings \* (Average/Grand) = 0.62 \* 0.66= 0.41 kg/HH-day

Tea stove savings = Average savings \* (Average/Grand) \* (Average/Grand) = 0.62 \* 0.66 \* 0.66= 0.27 kg/HH-day

Similarly, one could calculate the Super Grand stove savings by multiplying the Grand stove savings by the ratio of the Grand stove savings to the Average stove savings (Grand/Average). These calculations are shown below:

Grand/Average = (Grand savings)/(Average savings)= 0.94/0.62

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= 1.5

### Super Grand savings = Grand savings \* (Grand/Average) = 0.94 \* 1.5 = 1.43 kg/HH-day

A second approach (#2), and perhaps the most technically robust, is to calculate the ratio of fuel savings to stove capacity for the measured Medium and Large stoves and then use that average ratio to extrapolate the fuel savings to the Tea, Small, and Super Grand stoves. Several measures of capacity were considered, including stove diameter, internal stove volume, and the maximum number of people for whom each stove was designed to cook. The ratio of fuel savings to stove capacity was calculated using these three different measures of capacity. The ratio was most similar for the Medium and Large stove when using the maximum number of people metric of capacity, as expected, based on Berkeley Air's field experience. Thus, the average ratio of fuel savings to the 'max cooked for' metric for Medium and Large stoves was used to calculate the fuel savings for the Super Grand, Small, and Tea stoves. The table below shows the relevant data:

	Measured savings (kg)	Capacity (max people cooked for) <sup>70</sup>	Savings/ capacity	Calculated savings
Super Grand	x	25	х	1.56
Grand	0.94	15	0.06	Х
Average	0.62	10	0.06	Х
Small	X	5	х	0.31
Теа	x	3	х	0.19

Table 4. Calculated charcoal savings using ratio between savings and stove capacity

The final approach (approach #3) is to simply discount approach #2 by a 15% to be very certain that these estimated fuel savings values are conservative. Such an approach yields the following:

Super Grand: 1.56 \* 0.85 = 1.32 Small: 0.31 \* 0.85 = 0.26 Tea: 0.19 \* 0.85 = 0.16

This approach (#3) was chosen as it was the most conservative of the three while still using the robust ratio of savings to stove capacity as a benchmark.

Table 5. Daily charcoal savings for all five Sewa stove types.

Stove Type	Method	Daily Charcoal Savings (kg/HH-day)
Super Grand	Ratio of savings/capacity minus 15%	1.32
Grand	measured in KPT	0.94

<sup>&</sup>lt;sup>70</sup> From Katene nameplate estimates for each product Prepared by Berkeley Air Monitoring Group

 toring response on the	Se nu improveu chureour stove	
Average	measured in KPT	0.62
Small	Ratio of savings/capacity minus 15%	0.26
Теа	Ratio of savings/capacity minus 15%	0.16

#### Fuelwood Use Results

Along with charcoal use, fuelwood use was measured in all daily fuelwood-using households. The results are shown in Table 6 below.

Table 6. Daily fuelwood use results of the KPT. The standard deviations are shown in parentheses.

Sub-group	# of HHs	Before Sewa fuelwood use (kg/HH-day)	After Sewa fuelwood use (kg/HH-day)	Fuel wood savings ( Before–After) (kg/HH-day)	t-Test (p value)
Daily fuelwood HHs	15	3.2 (2.4)	2.7 (2.1)	0.6 (2.5)	0.34

Average fuelwood savings were 0.56 kg/HH-day, with a p value of 0.34. In order to be conservative, three households with very high, outlying daily fuelwood savings were removed from the analysis.

#### Fuelwood savings adjustment factors

The daily fuelwood savings will be applied to Average and Grand stoves (those on which the fuelwood KPT was based) and Super Grand stoves (although not increased for this larger stove), but, in order to be conservative, will not be applied to Small and Tea stoves. The KS revealed that about 45% of Sewa stove purchasers also cooked daily with fuelwood; this percentage was generally confirmed by local knowledge of the Sewa stove customers. Thus, an adjustment of 0.45 is used.

Table 7. Adjusted daily fuelwood savings for each stove type.

Stove Type	Adjusted Daily Fuelwood Savings (kg/HH-day)
Super Grand	= 0.56 * 0.45 = 0.25
Grand	= 0.56 * 0.45 = 0.25
Average	= 0.56 * 0.45 = 0.25
Small	0
Теа	0

### Final Daily Fuel Savings for Each Stove Type

The final daily charcoal and fuelwood savings for each stove type are shown in Table 8.

Stove Type	Daily Fuel Savings (kg/HH-day)	
	Charcoal	Fuelwood
Super Grand	1.32	0.25
Grand	0.94	0.25
Average	0.62	0.25
Small	0.26	0
Tea	0.16	0

Table 8. Daily charcoal and fuelwood savings for each stove type

# II.C. Non-renewable Biomass Baseline Study

The biomass fuel supply area for Bamako is generally defined as the 61 communes that contribute to the supply of fuelwood or charcoal to Bamako according to the "Schema Report" (Agence Malienne pour le Développement de l'Energie Domestique et de l'Electrification Rurale, 2006). For each commune, the Schema Report provided annual totals (in steres) for: woodfuel growth (mean annual increment, MAI), woodfuel harvest (H), the amount of charcoal sent to Bamako, and the amount of fuelwood sent to Bamako. Woodfuel consists of charcoal plus fuelwood. The Schema Report also defines one stere =  $0.43 \text{ m}^3 = 330 \text{ kg}$ . As both charcoal and fuelwood savings were documented for Sewa stove users, non-renewability fractions of both charcoal and fuelwood were determined below.

### Non-renewability of charcoal

Knowing the amount of charcoal sent to Bamako by each commune allowed us to better estimate the overall non-renewability of the charcoal. We considered the following three approaches.

### Approach #1 (charcoal):

In approach 1, the fuel collection area (A), consisting of 3,630,607 hectares, excludes the seven communes in the supply area which do not supply any charcoal to Bamako, since the renewability of the charcoal used in Bamako is not at all related to the production and harvesting of fuelwood in these communes. Hence, A, MAI, and H were defined as follows:

Fuel collection area (A) = all communes that supply any charcoal to Bamako (54 communes in total)

MAI = total woodfuel growth in all communes that supply any charcoal to Bamako = 2,035,048 steres

H = woodfuel harvest in all communes that supply any charcoal to Bamako = 4,125,013 steres

% Non-renewability  $(X_{nrb}) = 1 - (MAI/H)$ = 1 - (2,035,048/4,125,013) = 0.51 (51%) Carbon Monitoring Report on the Sewa Improved Charcoal Stove *Approach #2 (charcoal)*:

In case 2, the fuel collection area (A), consisting of 1,658,304 hectares, includes the top charcoalproducing communes in the supply area which supply 95% of the charcoal to Bamako (26 communes). Doing so focused the supply area on the major charcoal-producing regions. Those areas collectively providing a total of only 5% of the charcoal to Bamako were excluded. Hence, A, MAI, and H were defined as follows:

Fuel collection area (A) = the top charcoal-producing communes which account for 95% of the charcoal supply to Bamako (26 communes)

MAI = total woodfuel growth in those 26 communes = 854,143 steres

H = woodfuel harvest in those 26 communes = 2,504,710 steres

$$\begin{split} X_{nrb} &= 1 - (MAI/H) \\ &= 1 - (854, 143/2, 504, 710) \\ &= 0.66 \ (66\%) \end{split}$$

Approach 3 (charcoal):

Because each of the communes has a distinct supply area (with each commune having distinct values for H and MAI for woodfuel), each has a specific, localized non-renewability fraction. To account for this, approach 3 used a weighted, commune-specific non-renewability fraction method. The non-renewability fraction of each of the 54 communes supplying any charcoal to Bamako (totalling 3,630,607 hectares as in approach 1) was multiplied by the fraction of the total charcoal to Bamako that it supplies. These weighted non-renewability fractions for each commune were then summed to give the overall non-renewability fraction. The approach is shown below:

where

 $X_{nrb,overall} = \sum [X_{nrb,i} * (charcoal fraction_i)]$ 

 $X_{nrb,i}$  = non-renewability fraction for region i charcoal fraction<sub>i</sub> = (charcoal to Bamako from region i)/(total charcoal to Bamako)

$$X_{nrb} = 0.59$$

Of the three methods, Approach 1 was chosen because it is the most easily reproduced on a bi-annual basis, as is required by the methodology, and is the most straight forward, all encompassing of the three. Thus, the best estimate of the percent non-renewability of the woodfuel providing the charcoal used in Bamako is 51%. This estimate is the most conservative of the three.

#### Non-renewability of fuelwood

Knowing the amount of fuelwood sent to Bamako by each commune allowed us to better estimate the overall non-renewability of the fuelwood. We considered the following three approaches.

Carbon Monitoring Report on the Sewa Improved Charcoal Stove *Approach #1 (fuelwood)*:

In approach 1, the fuel collection area (A), consisting of 2,908,108 hectares, excludes communes in the supply area which do not supply any fuelwood to Bamako, since the renewability of the fuelwood used in Bamako is not at all related to the production and harvesting of fuelwood in these communes. Hence, A, MAI, and H were defined as follows:

Fuel collection area (A) = all communes that supply any fuelwood to Bamako (45 communes in total)

MAI = total woodfuel growth in all communes that supply any fuelwood to Bamako = 1,557,769 steres

H = woodfuel harvest in all communes that supply any fuelwood to Bamako = 3,365,580 steres

% Non-renewability  $(X_{nrb}) = 1 - (MAI/H)$ = 1 - (1,557,769/3,365,580) = 0.54 (54%)

Approach #2 (fuelwood):

In approach 2, the fuel collection area (A), consisting of 1,605,778 hectares, includes the top fuelwoodproviding communes in the supply area which supply 95% of the fuelwood to Bamako (22 communes). Doing so focused the supply area on the major fuelwood-providing regions. Those areas providing only 5% of the fuelwood to Bamako were excluded. Hence, A, MAI, and H were defined as follows:

Fuel collection area (A) = the top fuelwood-providing communes which account for 95% of the fuelwood supply to Bamako (22 communes)

MAI = total woodfuel growth in those 22 communes = 823,469 steres

H = woodfuel harvest in those 22 communes = 2,282,057 steres

$$\begin{split} X_{nrb} &= 1 - (MAI/H) \\ &= 1 - (823,469/2,282,057) \\ &= 0.64 \ (64\%) \end{split}$$

Approach 3 (fuelwood):

Approach 3,

In approach 3, the non-renewability fraction of each of the 45 communes supplying any fuelwood to Bamako (same land area as approach 1) was multiplied by the fraction of the total fuelwood to Bamako that it supplies. These weighted non-renewability fractions were then summed to give the overall non-renewability fraction. The approach is shown below:

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 $X_{nrb,overall} = \sum [X_{nrb,i} * (fuelwood fraction_i)]$ 

where

 $X_{nrb,i}$  = non-renewability fraction for region i fuelwood fraction<sub>i</sub> = (fuelwood to Bamako from region i)/(total fuelwood to Bamako)

 $X_{nrb} = 0.47$ 

As described in the charcoal non-renewability section above, using Approach 1 was considered the most reproducible, straight forward and all encompassing of the three, and was therefore used in this case. Thus, the best estimate of the percent non-renewability of the woodfuel used in Bamako is 54%.

#### Future biomass non-renewability estimates

Over the next several years, potential changes in the non-renewability fractions of charcoal and fuelwood in Mali will depend on many factors including population growth, forest replanting, the economy, the affordability of petroleum and electricity, the spread of biomass fuel saving products such as the Sewa stove, and other factors. It is difficult to predict the trend of biomass non-renewability in Mali. While the Sewa stove project will help reduce the pressure on biomass resources, we do not, however, expect this project or others like it to have a highly significant impact on the biomass non-renewability estimates in this report. The 2-year follow-up biomass non-renewability study will capture any significant changes due to the Sewa stove project and any others. Additionally, within the next 2 years, more high-quality data may become available, which could improve or further validate the non-renewability estimates in this report.

### Sensitivity Analysis

In order to see the affect of variability or error in the parameters used to estimate the non-renewability fraction, a simple sensitivity analysis was performed. The non-renewability of charcoal (Approach #1 above) was used for this sensitivity analysis. As  $X_{nrb}$  is equal to 1–(MAI/H), MAI and H are the two parameters of interest.

- The best estimate for charcoal (Approach #1):  $X_{nrb} = 0.51$
- A 10% increase in MAI for each commune:  $X_{nrb} = 0.46$  (a 9.7% decrease)
- A 10% increase in H for each commune:  $X_{nrb} = 0.55$  (a 8.9% increase)

### Sense-check

In order to provide a sense-check of the non-renewability fraction calculated for the Bamako supply basin in this report, Table 9 below illustrates a rough calculation of non-renewability for several countries in West Africa, based on national forest cover, fuel consumption, and growth statistics provided by the FAO (FAOSTAT-Forestry Database, 2005, <u>http://faostat.fao.org</u>).

Note that established non-renewability fractions are not readily, if at all, accessible for several countries in West Africa. As the FAO notes, "[d]espite several past attempts at improving biofuel information Prepared by Berkeley Air Monitoring Group August 2008 102

systems in Africa, woodfuel information is still very scarce or [of] poor quality, which prevents countries from undertaking detailed diagnosis and relevant planning activities"<sup>71</sup>.

 $X_{nrb}$ Country Growing stock  $(m^3)$  $MAI(m^3)$ Annual Wood (forest + wooded)(growing stock \* Harvest  $(m^3)$ 2.5% growth rate) land) 248,000,000 6,200,000 Burkina Faso 7,338,000 0.16 Cameroon 1,313,000,000 32,825,000 19,772,000 -0.66 (0) Ghana 321,000,000 8,025,000 29,458,000 0.73 Guinea 520,000,000 13,000,000 14,001,000 0.07 Guinea-Bissau 51,000,000 0.10 1,275,000 1,417,000 Liberia 498,000,000 12,450,000 5,918,000 -1.1 (0) Mali 443,000,000 11,075,000 6,386,000 -0.73 (0) Niger 25,000,000 625,000 12,473,000 0.95 Nigeria 1,386,000,000 34,650,000 86,627,000 0.60 Senegal 347,000,000 8,675,000 5,110,000 -0.70(0)Western 38,000,000 950,000 6,332,000 0.85 Sahara

Table 9. National biomass non-renewability estimates for West Africa

\* MAI calculated from total growing stock (FAO 2005) and a generic 2.5% growth rate

The NRB fractions in Table 9 are limited in the extent to which they can be compared with the fraction generated herein for the Bamako supply basin, because they are national averages, whereas the Bamako NRB fraction represents a more accurate picture of the situation on a local level. Still, it is interesting to see the extent to which non-renewability varies from country to country. Each of the NRB fractions in Table 9 exists within a particular geographic, social, and economic context, so that the fraction varies according to the size of existent forest, population, harvesting practices, and the country's position on the so-called energy ladder.

There are a number of reasons why the Mali national biomass non-renewability estimate above is very different from our NRB baseline assessment for Bamako. First, the Mali national biomass nonrenewability assessment includes a large amount of inaccessible, protected, or otherwise unusable biomass. As there is little data at the national level on fuelwood supply in Mali, the national estimate is based on the growth and harvesting of all wood, whereas the Bamako estimate is based specifically on fuelwood. In any case, the national estimates are not considered accurate. Bamako's situation is non-

<sup>&</sup>lt;sup>71</sup> http://www.fao.org/docrep/009/j8227e/j8227e06.htm#TopOfPage Prepared by Berkeley Air Monitoring Group

renewable because of the high population density, high rate of growth, and the recent dramatic switch among the urban population from fuelwood to charcoal. Finally, outside of Bamako, the use of charcoal (more fuelwood-intensive than fuelwood itself), is quite low.

# **APPENDIX I: Copy of the Kitchen Survey**

Survey #: \_\_\_\_\_

# **KITCHEN SURVEY**

### **Individual Questionnaire**

Introductory Remarks:

Good morning /afternoon, my name is \_\_\_\_\_\_\_. I am here on behalf of Berkeley Air Monitoring Group, based in the USA. Berkeley Air is an organization dedicated to protecting human health and climate. I am happy that you have made time for us. We are here today to talk to you about the Sewa improved charcoal stove you purchased from Katene Kadji and any other cooking device that you have in your household. If you would like to participate in the survey, we will ask you to answer some questions. This survey will take about 40 minutes. During this survey, we will ask you about your cooking practices and cooking devices. By participating in this survey, you will help us to improve the Sewa stoves. All of the information we collect will be kept private. Your name will not appear anywhere. Any other facts that might point to you will not appear when we report the findings of this survey.

\* Do you agree to participate? 1. Yes 2. No (Terminate the interview)

Date of interview: \_\_\_\_\_ (format: ddMonYYYY)

Time interview started: \_\_\_\_\_ Interviewer's name: \_\_\_\_\_

1	CITY:	5. HH	ID (only for KPT homes):
2	DISTRICT:		e of Purchase:
3	VILLAGE/ZONE:	7. Stor	ve Type/Size:
4	OTHER:		••
	SECTION B: Household Soci	o-demo	graphic Characteristics
	SECTION B: Household Soci	o-demo	graphic Characteristics RESPONSE
8			-

Carbo	on Monitoring Report on the Sewa Improved Charcoa	
10	What is your marital status?	<ol> <li>Married\Cohabiting 2. Single/Never married 3.Divorced\Separated</li> <li>Widowed</li> </ol>
11	What relationship do you have with the head of household?	1. Wife 2. House Help 3. Daughter 4. Other Relative
10		
12	How many people live in your household?	Number:
13	What is your households' main source of income?	<ol> <li>Trade 2. Employment 3. Farming</li> <li>Casual laborer</li> </ol>
14	Please describe your household type.	1. Permanent 2. Semi Permanent 3. Temporary
15	Where is the <b>main</b> cooking place for your household	
	Section C: Confirm Purc	hase of Sewa Stove
16	Do you have a Sewa stove?	1. Yes 2.No (Terminate the interview)
17	For how long have you had the Sewa stove?	Time:
18	How many Sewa stoves do you have?	Number:
19	Are you currently using the Sewa stove?	1. Yes (skip to Q 21) 2.No
<u>20</u>	If no, why are you not using the Sewa stove?	<ol> <li>Got spoilt 2. Fuel expensive 3. Inconvenient</li> <li>It is not effective (result not good) 5. It is not efficient (slow)</li> </ol>
21	Are you satisfied with the Sewa stove?	1. Yes 2.No
22	What do you like about the Sewa stove?	<ol> <li>Saves on fuel</li> <li>Cooks faster</li> <li>Portable</li> <li>Lights faster</li> <li>Good results</li> <li>Other (specify):</li> </ol>
23	What don't you like about the Sewa stove?	1. Uses a lot of fuel 2. Not portable 3. Does not cook faster 4. No good results 5. Takes long to light 6. Other (specify)
24	Since you bought the Sewa stove, do you spend	1. More 2. Less 3. Same
	more, less, or the same amount of time cooking?	
<u>25</u>	If more or less time, why? (if the same, skip this Q)	1. Family increased 2. Family reduced 3. Stove saves on fuel 4. Cook more foods 5. Stove retains heat 6. Stove cooks faster 7. Other (specify)

	Section D: Fuel U	Ise (Before)
28	<b>Before</b> buying the Sewa stove, what type of fuel(s)	1. Charcoal 2. Gas 3. Wood 4. Electricity 5.
	were you using? (select one here; list others below)	Husks 6. Paraffin
		7. Other (specify):
29	[fuel #2, if any]	1. Charcoal 2. Gas 3. Wood 4. Electricity 5.
		Husks 6. Paraffin
		7. Other (specify):
30	[fuel #3, if any]	1. Charcoal 2. Gas 3. Wood 4. Electricity 5.
		Husks 6. Paraffin
		7. Other (specify):

1. More 2. Less 3 Same

(specify).....

1. Family increased 2. Family reduced 3. Stove saves fuel 4. Cook more foods 5. Other

Since you bought the Sewa stove do you use more,

If more or less fuel, why? (if the same, skip this Q)

less, or the same amount of fuel?

26

27

31	<b>Before</b> buying the Sewa stove, how often did you use each of the following cooking devices?	Type of fuel	Number of times per day
		Ordinary charcoal stove	
		3 stone fire (wood)	
		Paraffin stove	
		Gas cooker	
		Electricity cooker	
		Other (specify)	

22				
32	<b>Before</b> buying the Sewa stove, what foods	Type of fuel	Type of food	
	(including tea/coffee) did you cook using each fuel	Charcoal		
	type?			
		Wood		
		Paraffin		
		Gas		
		Gas		
		Electricity		
		Electricity		
		Other		
		specify		
	Section E: Fuel			
33	After purchase of the Sewa stove, what type of	1. Charcoal 2. Gas 3. V	Wood 4. Elect	ricity 5.
	fuel(s) were you using? (select one here; list others	Husks 6. Paraffin 7.	Other,	·
	below)	specify	•••••	
34	[fuel #2, if any]	specify 1. Charcoal 2. Gas 3. V	Wood 4. Elect	ricity 5.
	L 7 73	Husks 6. Paraffin 7.		5
		specify	· · · · · · · · · · · · · · · · · · ·	
35	[fuel #3, if any]	1. Charcoal 2. Gas 3. V		ricity 5.
		Husks 6. Paraffin 7.		ineley et
		specify		
36	After purchase of the Sewa stove, how often do	Type of fuel	••••••	Number
50	you use each cooking device?	Type of fuel		of times
	you use each cooking device?			per day
		Sewa charcoal stove		per uuj
		Ordinary charcoal stove		
		3 stone fire (wood)		
		Paraffin stove		
		Gas cooker		
		Electricity cooker		
		Other (specify)		
37	After purchase of the Sewa stove, what foods	Type of fuel		Type of
	(including tea/coffee) do you cook using each fuel			food
	type?	Charcoal		
	×1	Wood		
		Paraffin		
		Gas		
		Electricity		
1		- icouriery		1

		Other specify		
38	Have you changed the types of fuels used since buying the Sewa stove?	1 Yes 2. No (skip to C	Q 40)	
39	If yes, from what to what?	Before Purchase		After
				Purchase
40	Other than cooking (food and coffee/tea), do you	1 Yes 2. No (skip to C	) 42)	
	use fuel for any other purposes?			
<u>41</u>	If yes, what purposes?	Type of fuel	Purpose	
40	SECTION F: Co		<u></u>	. 0
42	For what type of cooking do you use the Sewa stove?	1. Domestic 2. Commercial 3. Both Domestic & Commercial 4. Institutional		
	stover	(specify)		
43	What is your family size?	Enter number:		
44	How many people do you cook for per day (on	Enter number:		
	average)?			
45	How many meals do you cook for your family per	Enter number:		
	day (on average)?		1	
46	How many bags/tins of fuel do you use per day (on average)?	Number used per day:	Size/type of	bag:
47	Do you collect or buy your fuel?	1. Collect 2. Buy 3. Bot	 th	
48	How much do you spend on fuel per day (on	Cost:		
49	average)? Since you started using the Sewa stove, do you	1. Yes; amount		
12	save money on fuel costs?	2. No		
50	Do you intend to continue using the Sewa stove?	1. Yes 2. No (Skip to Q 50)		
<u>51</u>	* If Yes, why	1. It saves time 2. It saves money 3. It is easy to		
		use 4. It is portable 5.		s smoke
		6. Less coughing and eye		
50	+ TCN T 1	6. Other (specify		r. •
<u>52</u>	* If No, why	1. It is costly 2. It is difficult to use 3. It is not		
		portable 4. It is not convenient 5	It produces m	ore emoleo
		6. Other (specify	. It produces in	ore smoke
			)	
			/	

53	What can be done to improve on the	Sewa stove?

Time Interview ended: \_\_\_\_\_

Please record any useful observations or comments by respondents:		